



# Sustainability Assessment of Urban Systems

(ENV-461) – MA B1 11

## 1: Introduction into sustainability and sustainability assessment

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# Laboratory for Human-Environment Relations in Urban Systems



# Teaching team from HERUS



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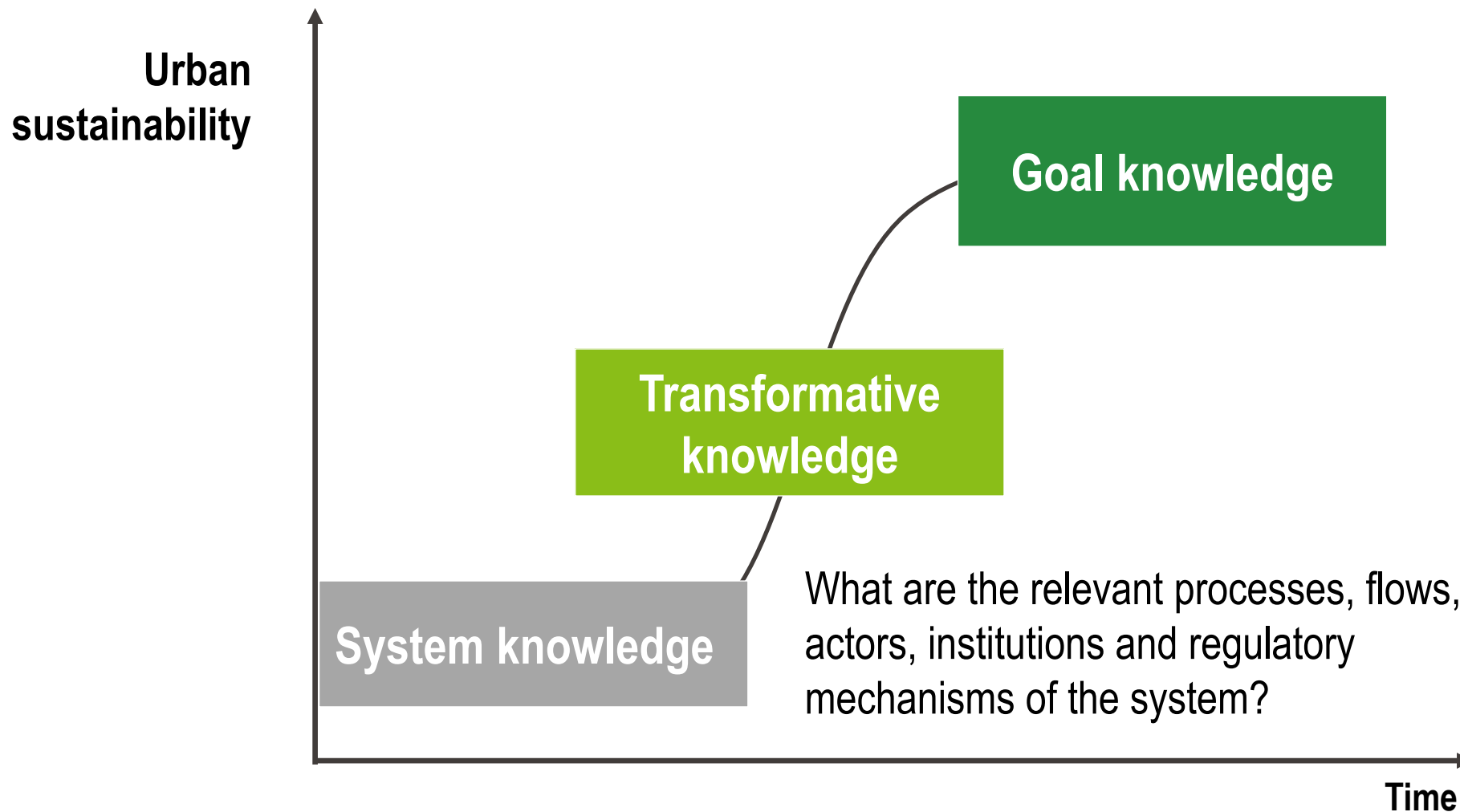
**Simon Ladino Cano**  
*Doctoral Assistant*

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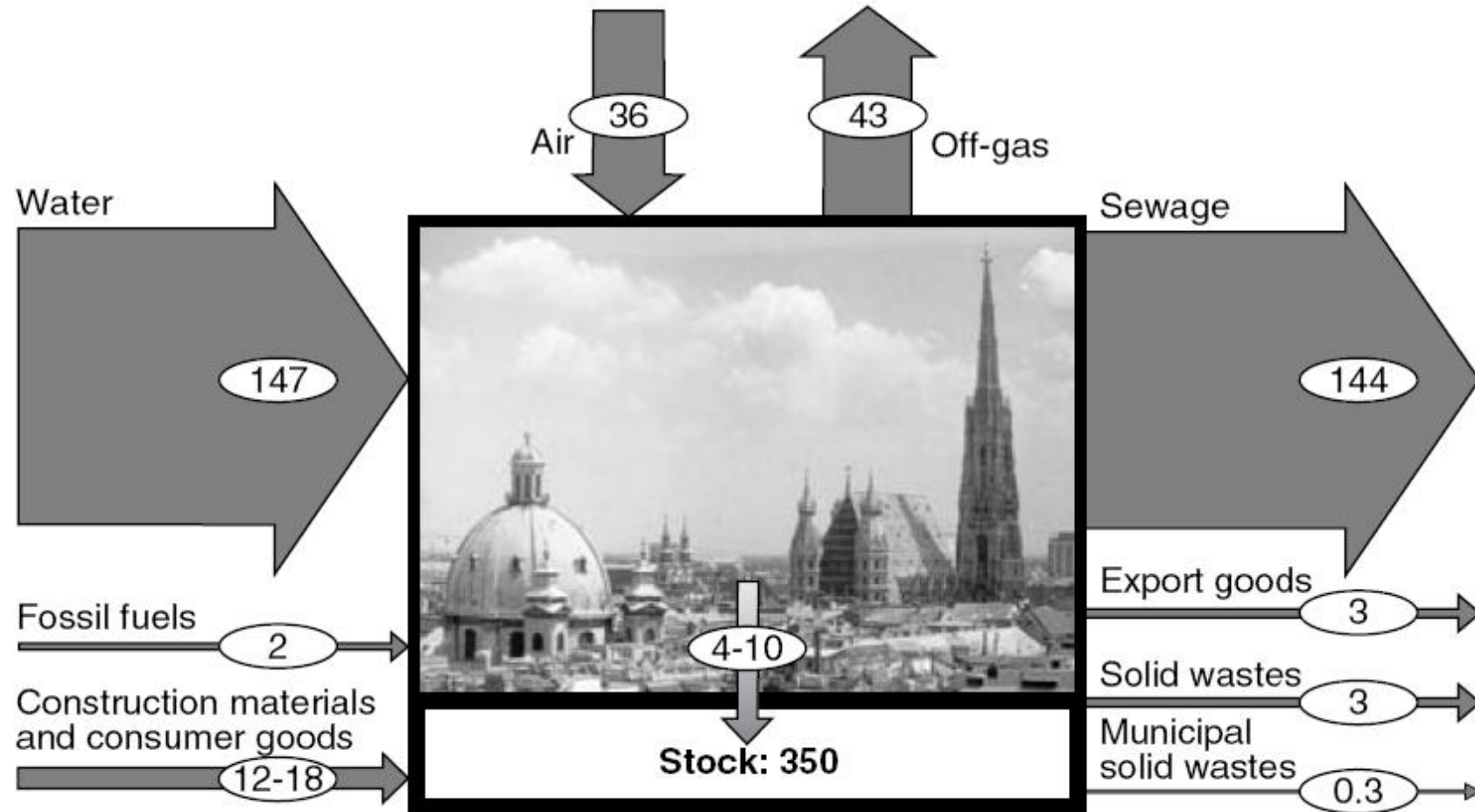
**Dr. Matthias Heinrich**  
*Post-Doc at HERUS*

# HERUS and Sustainability transition



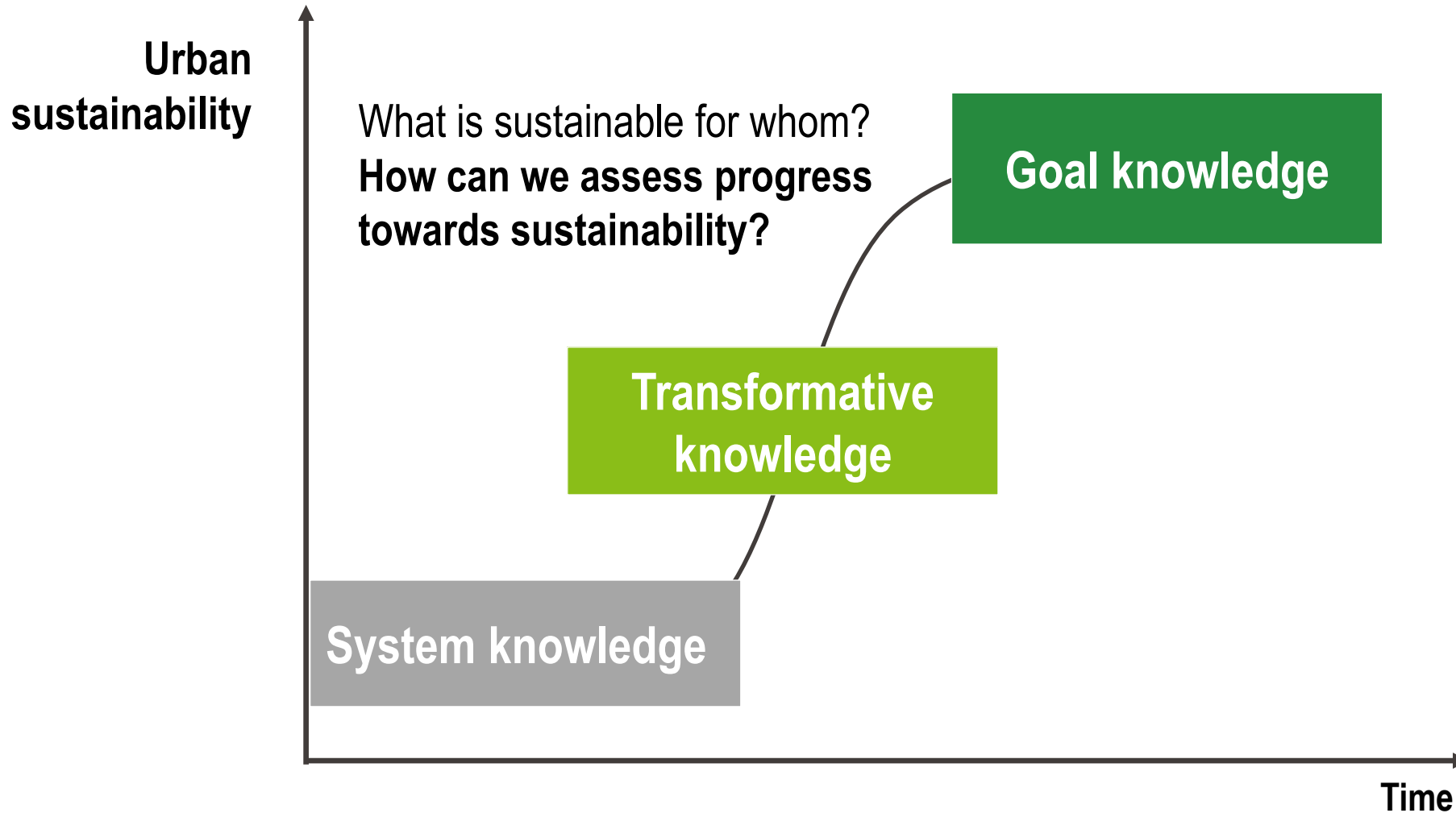
# Urban Metabolism of Vienna in 1990s (tons/cap/year)

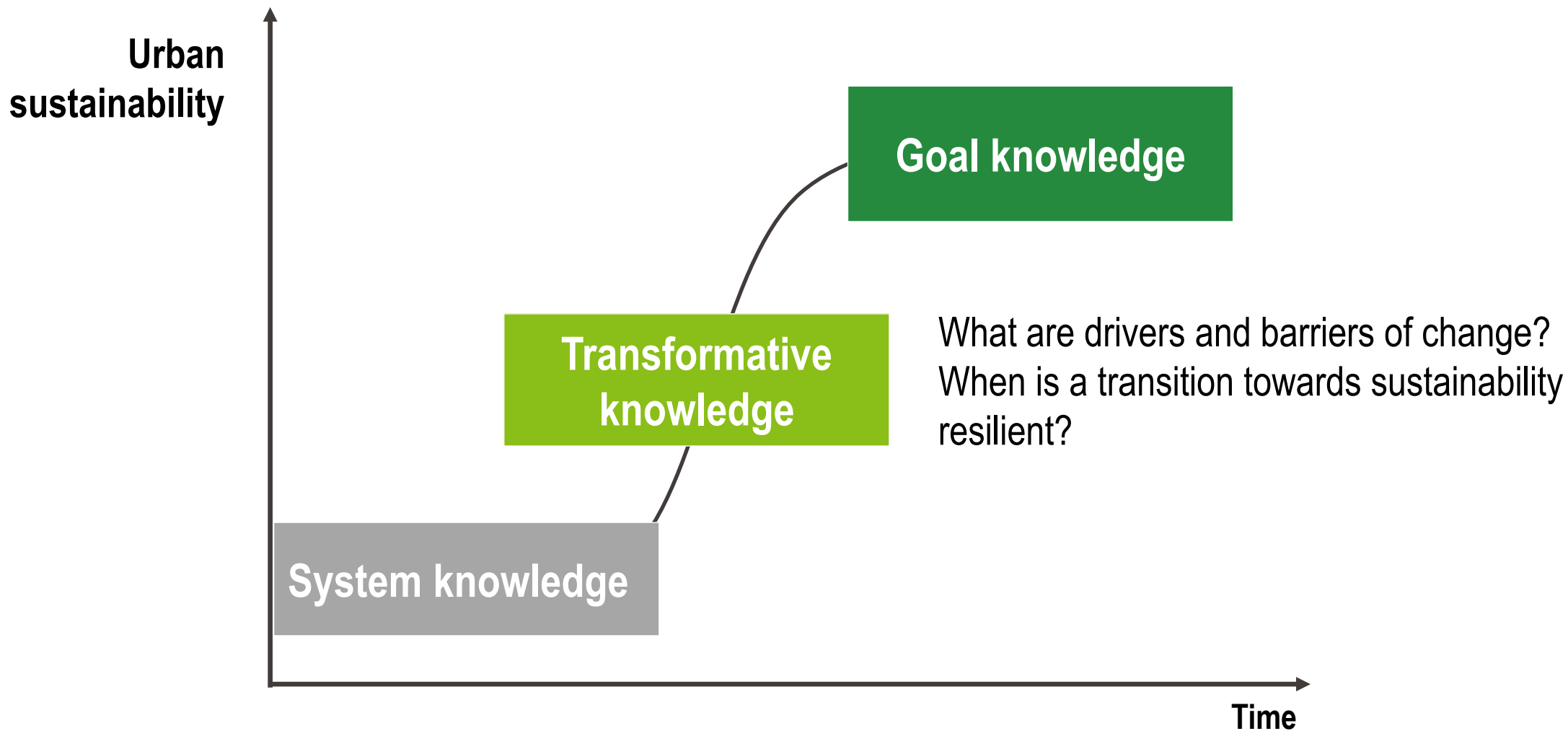
**Urban metabolism** is "the sum total of the technical and socio-economic process that occur in cities, resulting in growth, production of energy and elimination of waste." (Kennedy, 2007)



Brunner & Rechberger (2004), Kennedy (2007)

# Sustainability transition





# Sustainability assessment of urban systems : «Kèsako» (What's that all about ?)





# Lausanne : A «sustainable city» ?

*“Resolutely committed to sustainable development, Lausanne has adopted the multi-dimensional approach of the UN's Agenda 2030 and has placed responsible consumption and production, the conservation of resources, food, energy savings, living together and health promotion at the heart of its ambitious policy.”*

**Ville de Lausanne**

“You are part of a sustainability assessment group and have been asked to provide elements for assessing the sustainability of Lausanne.”



# Lausanne : A «sustainable city» ?

“You are part of a sustainability assessment group and have been asked to provide elements for assessing the sustainability of Lausanne.”

**Group A**

→ 4 teams

**Group B**

→ 4 teams

Team 1 : Scope

Team 2 : Procedural aspects

Team 3 : Sustainability definition

Team 4 : Indicators

Questions are available for each team are here

[https://go.epfl.ch/SA\\_intro](https://go.epfl.ch/SA_intro)

## Step 2 : Presentation

1 Person / team

3 min. max

## Step 1 : Group work

10 min



# Group 1 : Defining the scope of the study

## Questions

What is the goal of the assessment?

How do you define the limits of the city of Lausanne?

Which time period does the assessment comprise?

What are the main characteristics of the city ?

## Questions

What key stages need to be considered to carry out a sustainability analysis?

What assessment tools or frameworks can be used (e.g. SWOT analysis, impact assessment)?

Which stakeholders should be involved in the assessment process ? When and how should we involve them ?

# Group 3: Definition of sustainability

## Questions

How do you define sustainability in general ?

What are the specific sustainability issues for the city of Lausanne ?

How do you refine the definition of sustainability for the project ?



## Questions

What are the key indicators to measure the sustainability of Lausanne ?

How can these indicators be measured? What tools or methodologies can be used to collect data on these indicators?

Can these indicators be used to compare the sustainability of different cities?

# Summary of the group work

What is difficult to define ?

Any contradictions between  
the group outputs ?

Any modification to propose ?

# Objectives of the course today

- Different approaches, perspectives to sustainability/sustainable development
- Why do we need sustainability assessment?
- A brief introduction to sustainability assessment
- Goals and structure of the course

# What is sustainability ?



# Different perspectives, approaches to sustainability

Definitions of Sustainability



# What is sustainability ... for you ?

# “Sustainable development” vs. “sustainability”

1. They have different priorities
  - “Sustainable development” prioritises (economic) growth
  - “Sustainability” prioritises the environment
2. They complement each other
  - “Sustainability” is an end state
  - “Sustainable development” is the process for reaching the end state
3. They are the same
  - “Sustainability” is a moving goal; it implies a dynamic state (= “development”)

## Definition by World Business Council for Sustainable Development

*Eco-efficiency = Product or service value / Environmental influence*

- A concept aiming to create more with less
  - Encourages businesses to become aware of their impact over the whole life-cycle of their products and services
- 
- Favored by industry
  - But does improving efficiency lead to less impact in absolute terms?

## Reminder:

“Sustainable development is development that meets the needs of the present without compromising the ability of future generations to meet their own needs”

*Brundtland Report – Our Common Future (1987)*

## Intergenerational equity

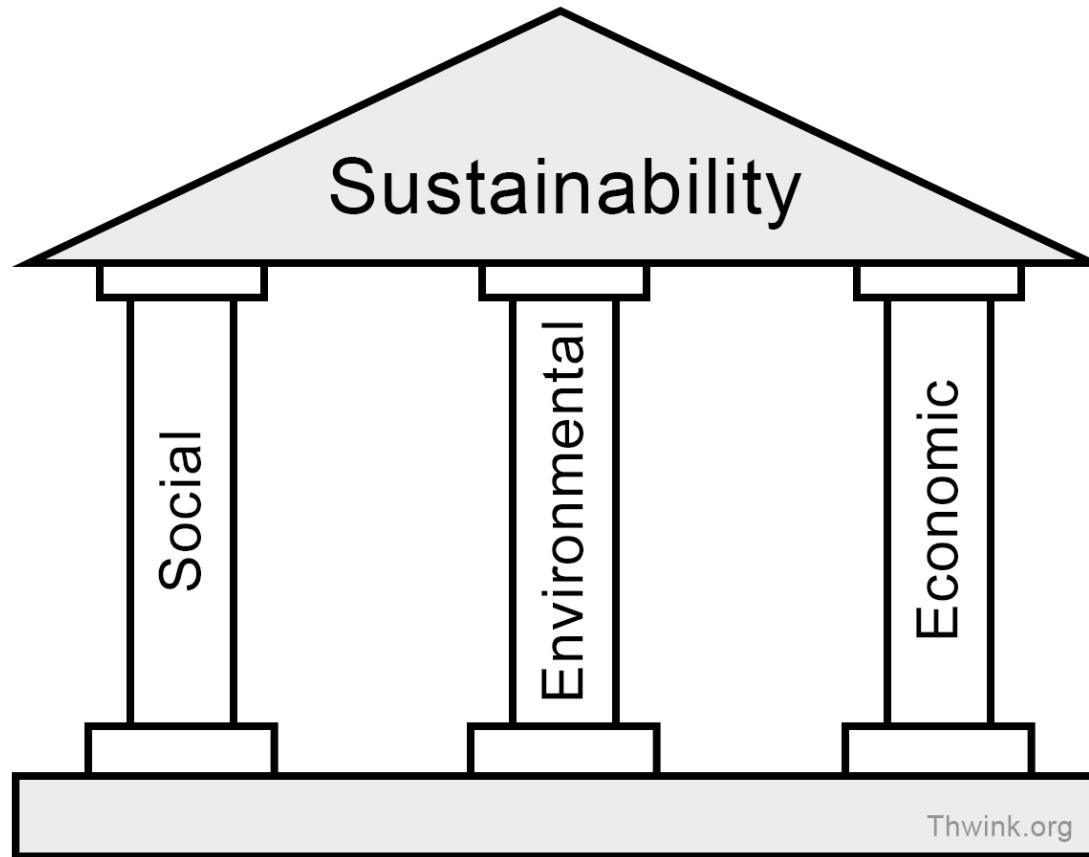
- serves the **needs of the present** generation without compromising the ability of **future generations** to meet their needs

## Intragenerational equity

- responsibilities of the **North and the South** with respect to issues like reducing greenhouse gas emissions commonly draw on this kind of perspective to evaluate proposals
- evaluation of the distribution of the historical burdens of environmental contamination.



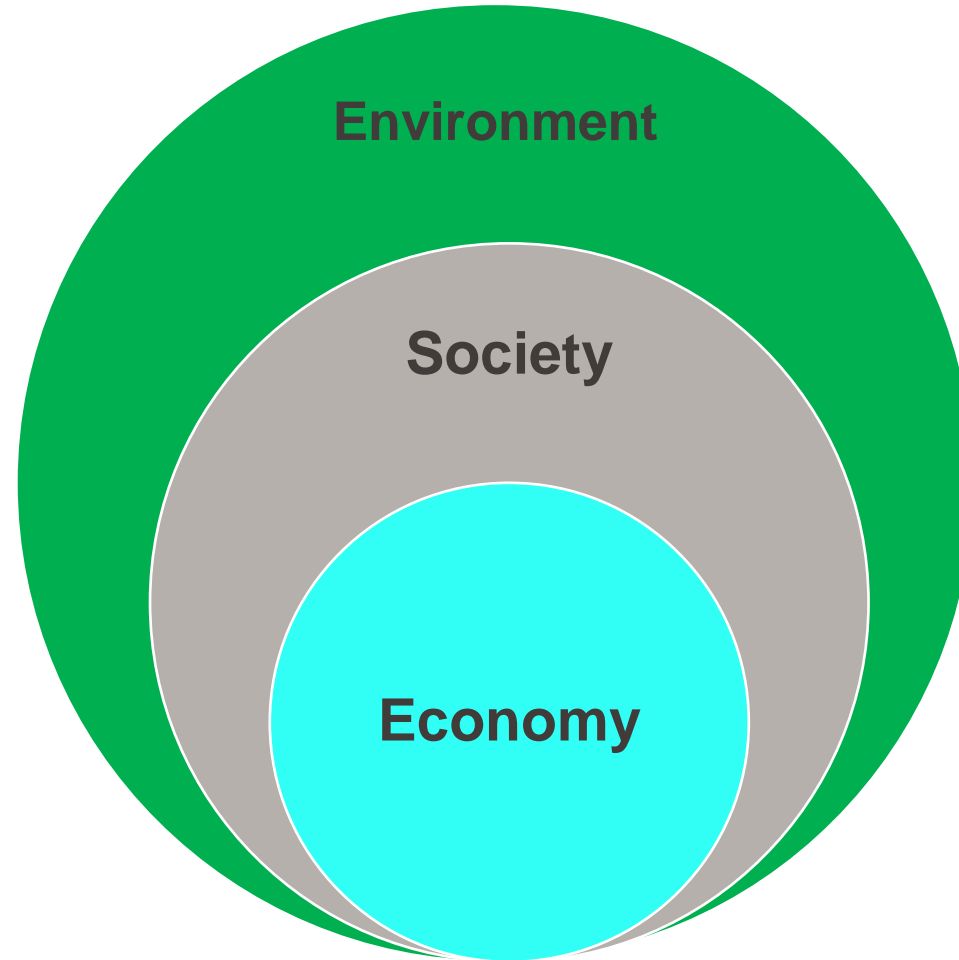
# The three pillars of sustainability



- Triple bottom line
- Techno-centric
- Weak sustainability
- Strong sustainability

Adams, 2006

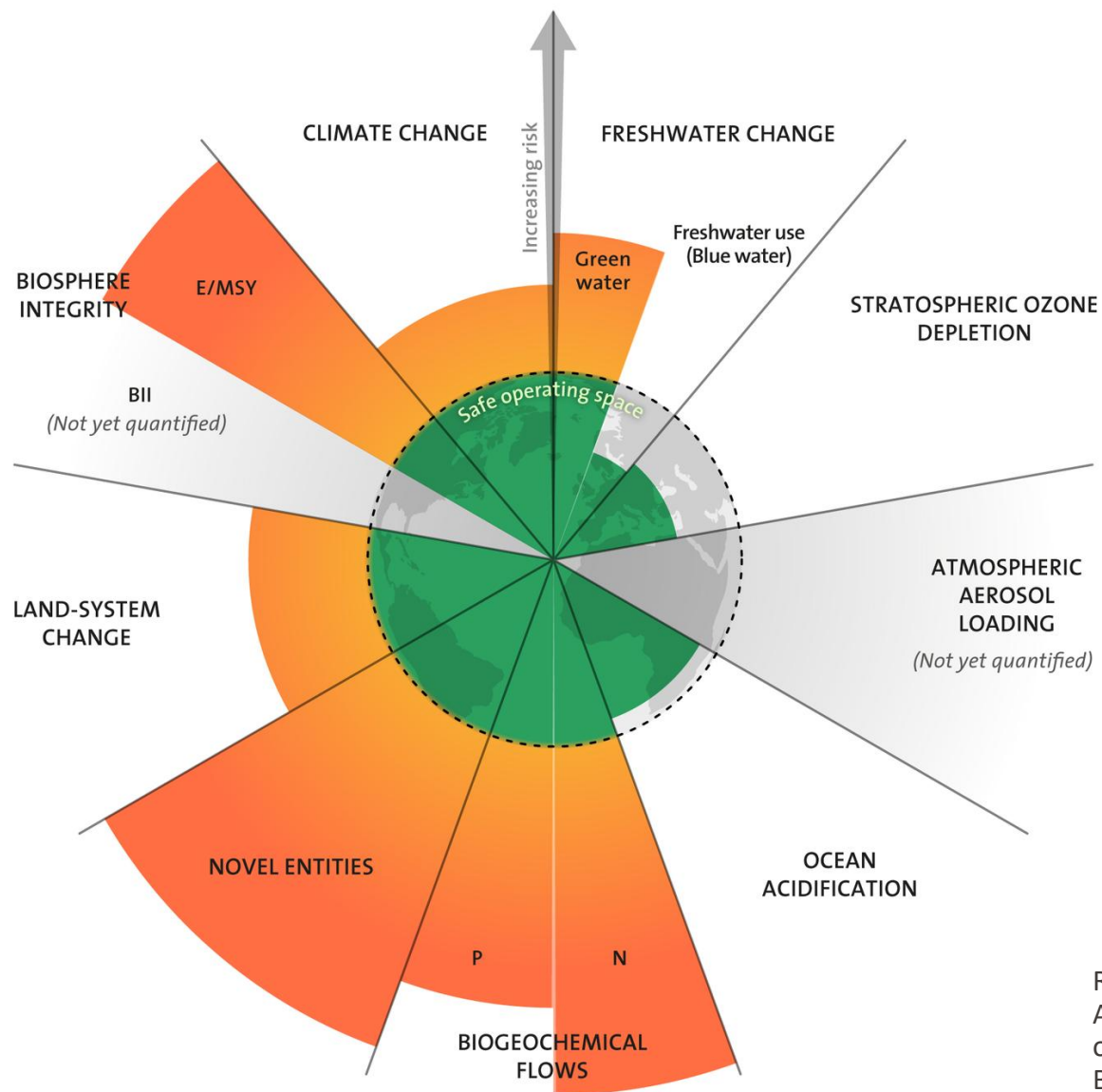
# The concentric circles of sustainability



# Maintenance of a system within functional limits

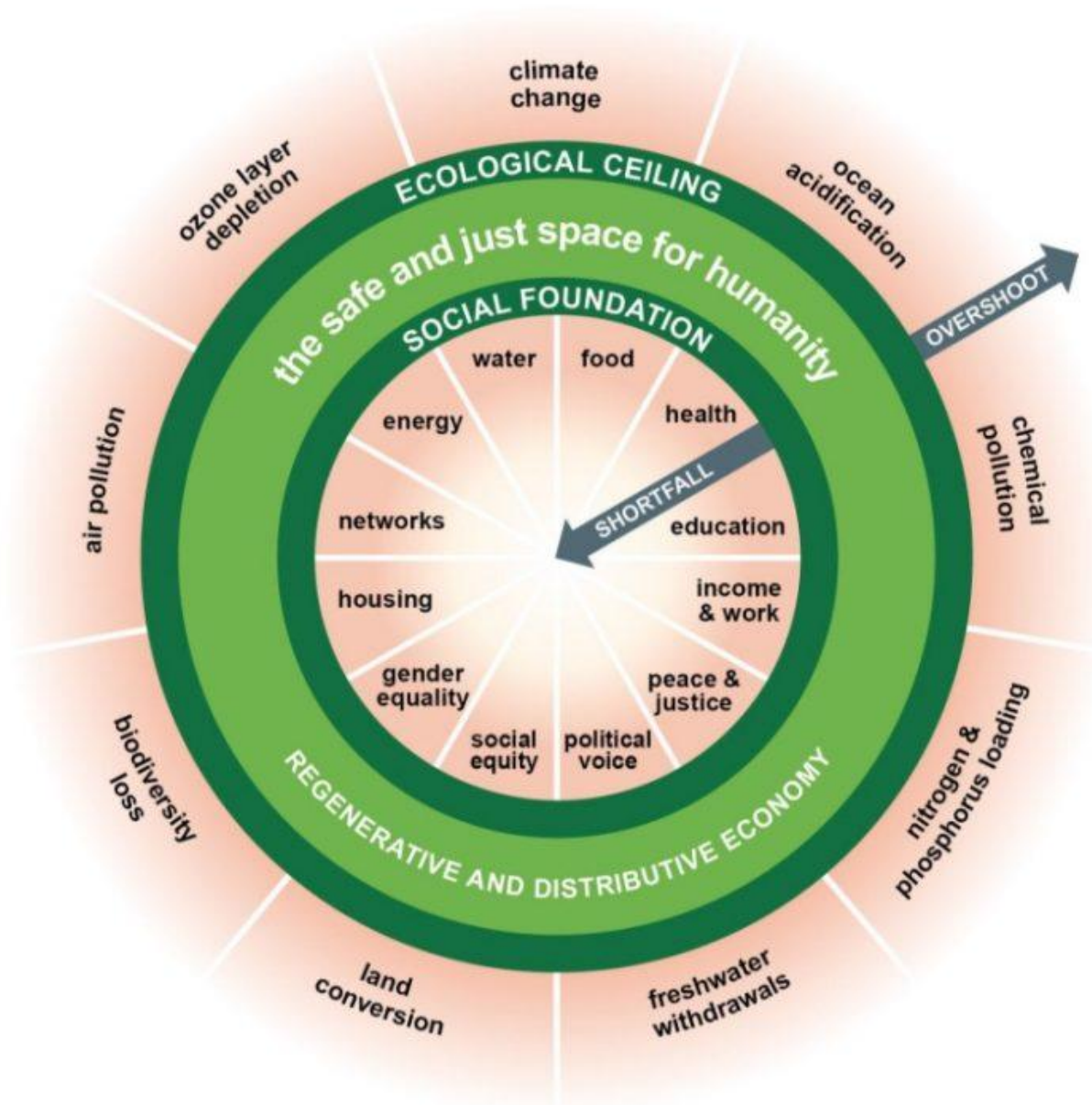
- Identifying functional limits and taking measures to operate within those limits
- Carrying capacity, adaptive capacity
- Resilience

# Planetary boundaries



Reassessment of April 2022  
Azote for Stockholm Resilience Centre, based  
on analysis in Wang-Erlandsson et al 2022 | CC  
BY-NC-ND 3.0

# The doughnut of sustainability



“A safe and just space for humanity”

(Raworth 2012)

Source: Oxfam. The **11 dimensions of the social foundation** are **illustrative** and are based on governments' priorities for Rio+20. The nine dimensions of the environmental ceiling are based on the planetary boundaries set out by Rockström et al (2009b)



# Sustainability principles

*“Rules of action towards sustainable development”* (Waas et al., 2011)

## 1. Normativity principle

- Sustainability implies choices that are based on societally defined **values**

## 2. Equity principle

- Inter- and intra-generational equity, geographical equity, procedural equity, interspecies equity

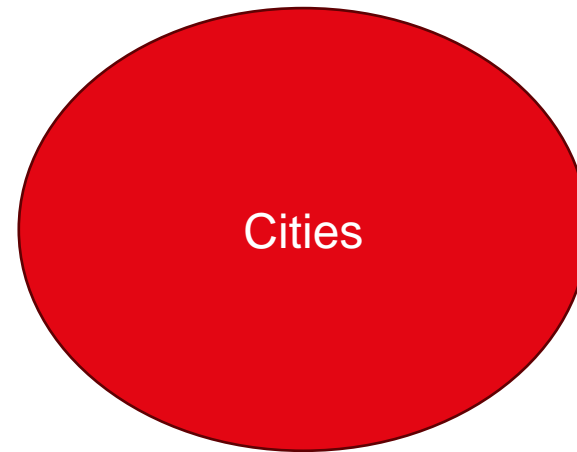
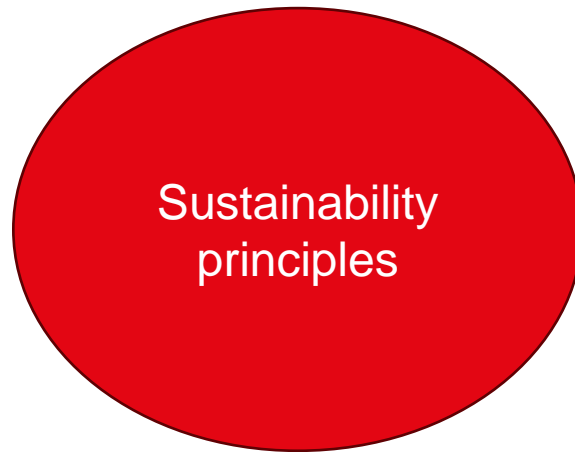
## 3. Integration principle

- Integration of socio-economic and institutional development objectives with environmental ones
- Contrasts with the idea of “balancing” or “trading-off”

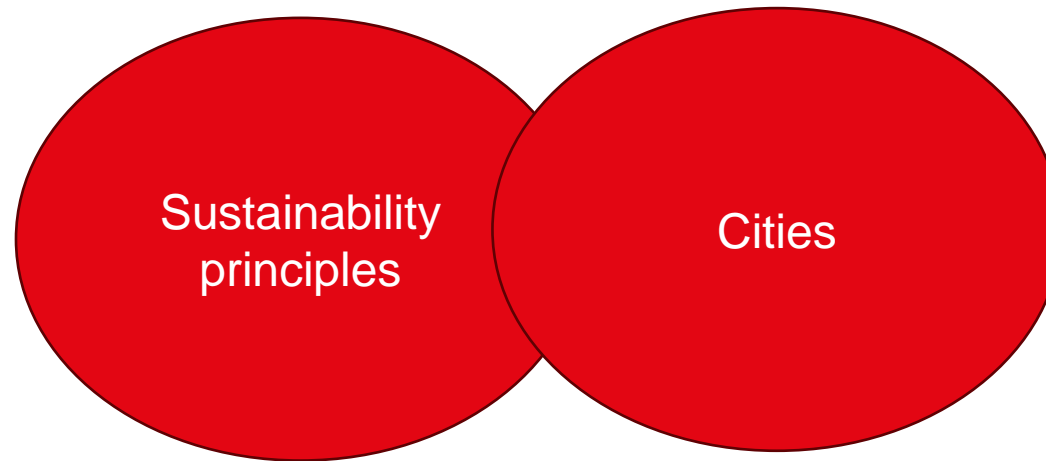
## 4. Dynamism principle

- Sustainability is not an end state, but a process of directed change, implying uncertainties and risks

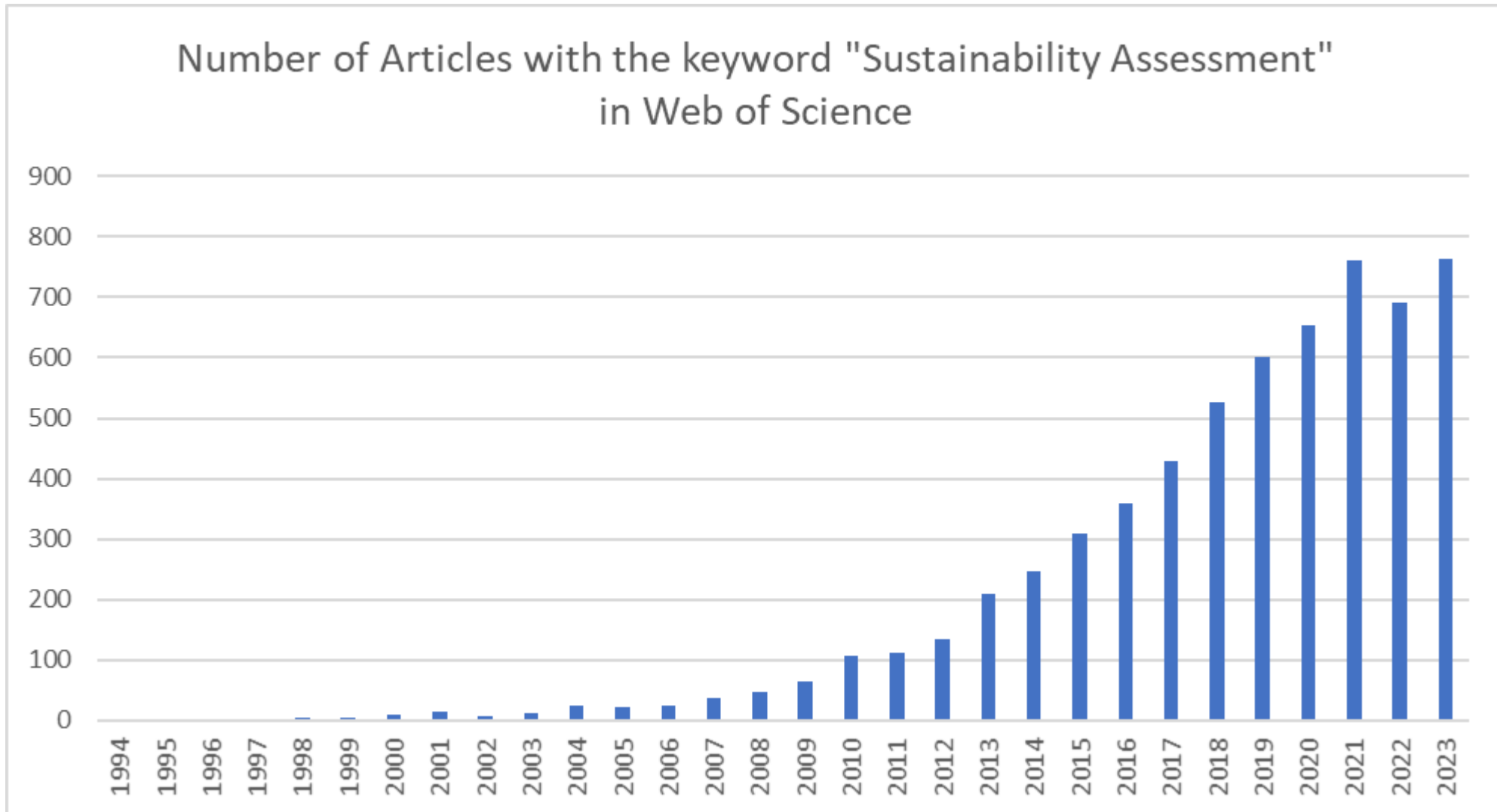
# Why do we need to assess sustainability in urban systems?



# Why do we need to assess sustainability in urban systems?



# Sustainability assessment - increasing in popularity



# Urbanization: Opportunity and challenge

3 – 50 – 80 – 75

11 SUSTAINABLE CITIES  
AND COMMUNITIES



→ **Need:** transition of cities towards sustainability

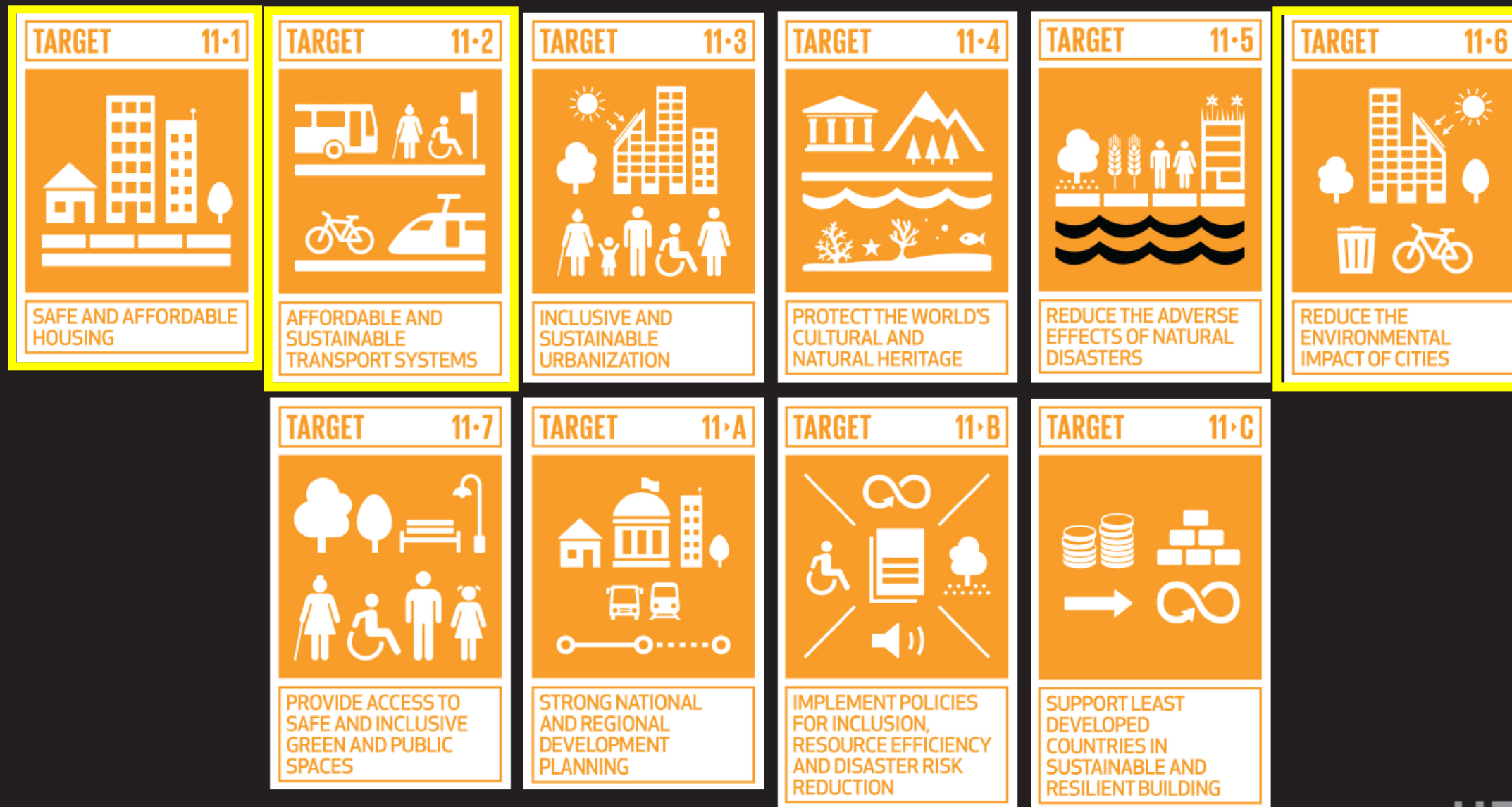
# 11 SUSTAINABLE CITIES AND COMMUNITIES



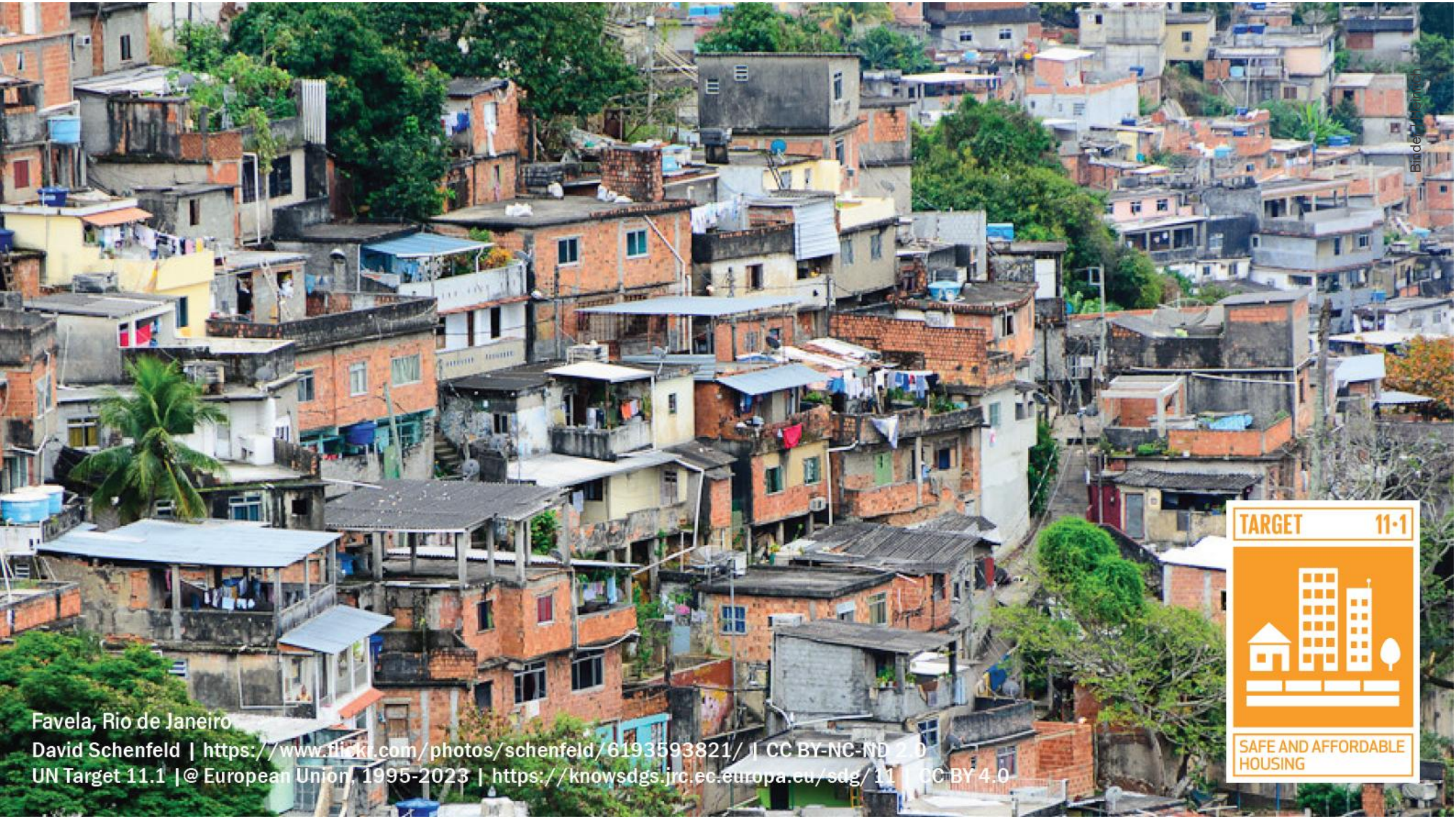
*"Make cities and human settlements inclusive, safe, resilient and sustainable"*

United Nations | <https://sdgs.un.org/goals/goal11> | 16.01.2023









Favela, Rio de Janeiro

David Schenfeld | <https://www.flickr.com/photos/schenfeld/6193593821/> | CC BY-NC-ND 2.0

UN Target 11.1 | © European Union, 1995-2023 | <https://knowsdgs.jrc.ec.europa.eu/sdg/11> | CC BY 4.0

TARGET 11.1



SAFE AND AFFORDABLE  
HOUSING





Bridget Heinrich

Cardboard recycling in Shanghai, China

Payton Chung | <https://www.flickr.com/photos/paytonc/5382168641/in/photostream/> | CC BY 2.0

UN Target 11.6 | @ European Union, 1995-2023 | <https://knowsdgs.jrc.ec.europa.eu/sdg/11> | CC BY 4.0

TARGET11-6



REDUCE THE ENVIRONMENTAL IMPACT OF CITIES





Underground in Paris, France

Olivier Prt | [https://www.flickr.com/photos/ol\\_pirot/32779166077/in/photostream/](https://www.flickr.com/photos/ol_pirot/32779166077/in/photostream/) | CC BY-ND 2.0

UN Target 11.7 | @ European Union, 1995-2023 | <https://knowsdgs.jrc.ec.europa.eu/sdg/11> | CC BY 4.0

**TARGET** 11.2



**AFFORDABLE AND SUSTAINABLE TRANSPORT SYSTEMS**

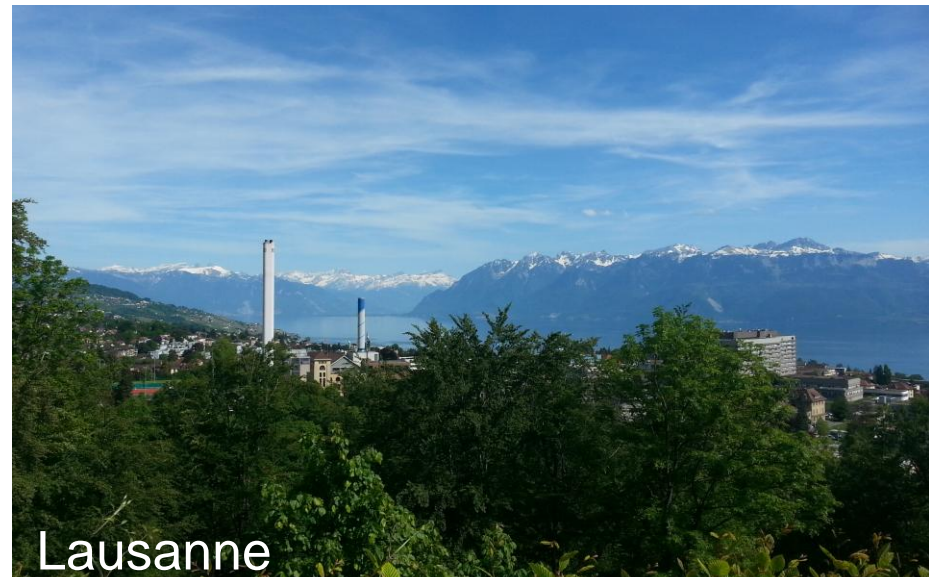


An aerial photograph of Paris, France, showing a wide, tree-lined boulevard (likely the Champs-Élysées) running through the center of the city. The boulevard is flanked by dense, multi-story buildings with traditional European architecture. In the background, a cluster of modern skyscrapers is visible against a blue sky with scattered clouds. The text "What is a sustainable urban system?" is overlaid in large, white, bold letters across the middle of the image.

# What is a sustainable urban system?



# Which city is most sustainable? Why?







The "supertrees" tower over Gardens by the Bay, Singapore  
By Shiny Things | Wikipedia Commons| CC BY 2.0

# Sustainability assessment

- “Sustainability assessment is...a **tool** that can help **decision-makers** and policy-makers decide what actions they should take and should not take in an attempt to make society more sustainable” (Devuyst, 2001, p. 9 in Pope et al. 2004).
- “The aim of sustainability assessment is to ensure that “plans and activities make an optimal contribution to sustainable development” (Verheem, 2002 / in Pope et al. 2004).
- “Sustainability assessment can be simply defined as any process that directs decision making towards sustainability” (Bond et al. 2015)



- “Sustainability assessment is any process that aims to ...
  - Contribute to a **better understanding** of the meaning of sustainability and its contextual interpretation (interpretation challenge);
  - **Integrate sustainability issues** into **decision-making** by identifying and assessing (past and/or future) sustainability impacts (information-structuring challenge);
  - **Foster sustainability objectives** (influence challenge)” (Waas et al. 2014)

Sustainability Assessment tools might be seen as a

## “VALUE-ARTICULATING INSTITUTION” (VAI)

(Jacobs 1997; Vatn 2005)

In general terms, a VAI is a cognitive model defining a set of rules about the valuing process:

WHO ?

(i) **Who** participates? On what premises (position/role)? How are participants involved in the assessment?

WHAT ?

(ii) What counts as **data** and what form it should take (prices, weights, arguments, etc.)

HOW ?

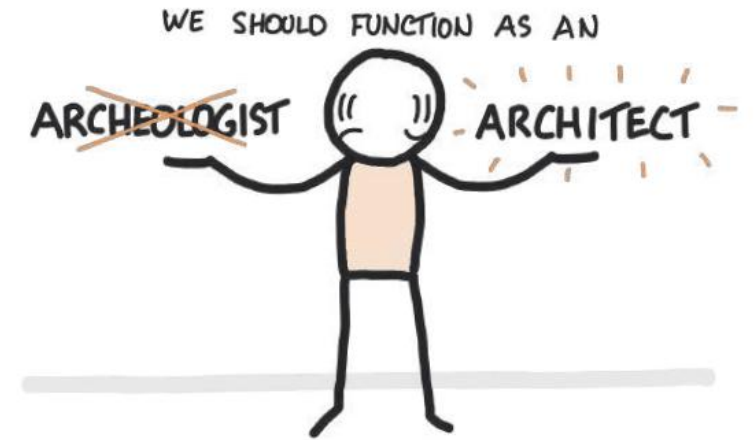
(iii) The kind of **data handling procedures** involved: how data is produced? How data are weighted or aggregated?

# ... So what???

Tool selection is most often made by the analyst on the basis of value-laden principles.

Tool selection inevitably frames the assessment and its results!

Researchers and practitioners in the field of Sustainability Assessments should function not as “**archaeologists**”, carefully uncovering what is there, but as “**architects**”, working to build a defensible expression of value...



(Gregory et al. 1993 ; Vatn & Bromley 1994).

# ... So what???



... Some principles to take into account when selecting Sustainability Assessment tools:

1. **Transparency**
2. **Inclusiveness** (from the very beginning...)
3. **Alignment with available resource and assessment goals**
4. **Procedural rationality**



# Sustainability Assessment Steps

# Step 1 : Defining the scope of the study

(or contextualization)

## Questions

What is the goal of the assessment?



**Selecting goal**

How do you define the limits of the city of Lausanne?



**Defining spatial boundaries**

Which time period does the assessment comprise?



**Defining temporal boundaries**

What are the main characteristics of the city ?



**Exploring the characteristics of the assessment object**

# 1. Contextualization

## Selecting goal

“a tool that can **help decision-makers and policy-makers decide** what actions they should take and should not take in an attempt to make society more sustainable” (Devuyst, 2001, p. 9 in Pope et al. 2004).

“The aim of sustainability assessment is to ensure that plans and activities make an optimal **contribution to sustainable development**” (Verheem, 2002 / in Pope et al. 2004).

## What do we do a sustainability assessment for?

“Sustainability assessment is any process that aims to ...

- Contribute to a **better understanding** of the meaning of sustainability and its contextual interpretation (interpretation challenge);
- **Integrate sustainability issues** into **decision-making** by identifying and assessing (past and/or future) sustainability impacts (information-structuring challenge);
- **Foster sustainability objectives** (influence challenge)” (Waas et al. 2014)

# 1. Contextualization

## Selecting goal

- Entity/object of the assessment: a project, a policy, a programme, **a system**, ...
- Temporal perspective: Ex-ante, ex-post, **status quo**
- **Benchmarking** (comparison across cases)
- Monitoring (comparison over time)

See e.g. Pope et al. (2004)



# 1. Contextualization

## Selecting goal

For whom am I making this sustainability assessment?

# 1. Contextualization

Selecting goal  Research question

**Which question does your sustainability assessment of four cities respond to?**

# 1. Contextualization

Selecting goal  Research question



Which of the selected cities  
characterized by *X* is the most  
sustainable?

# 1. Contextualization

## Defining spatial boundaries



District



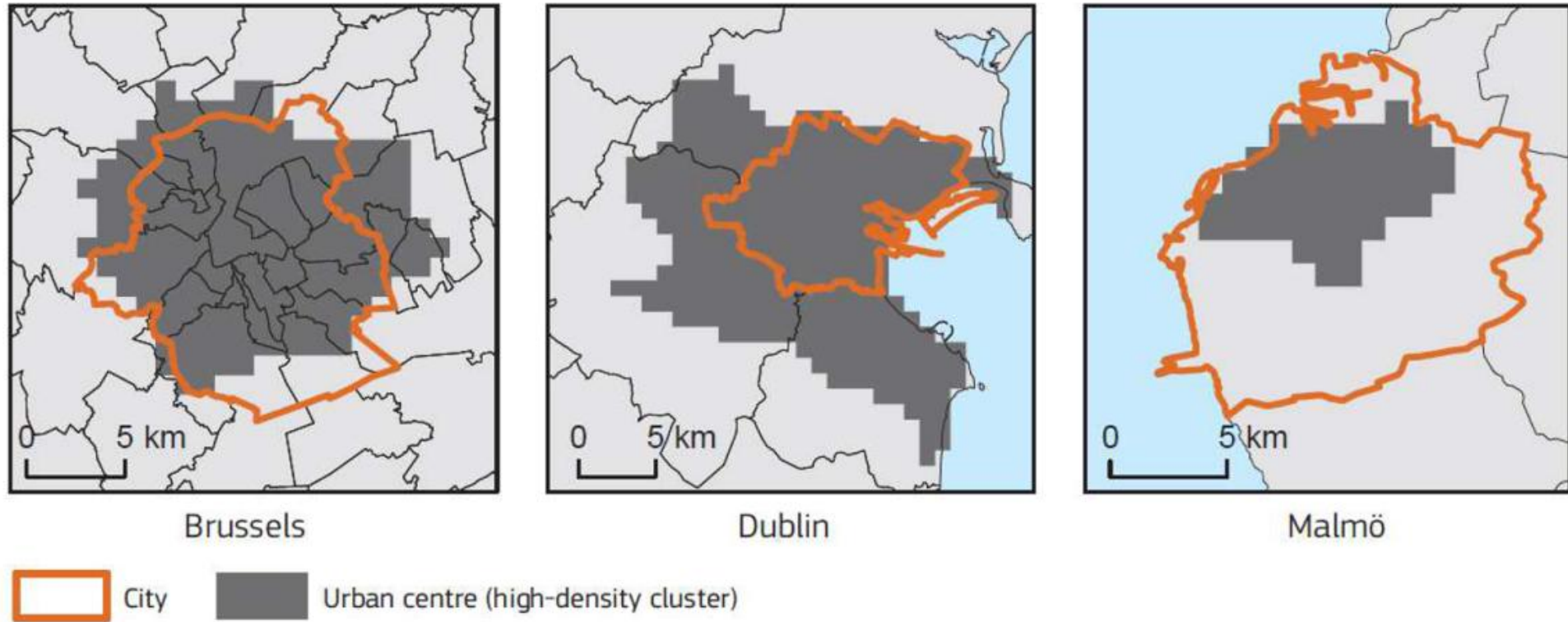
Canton



Confederation

# 1. Contextualization

## Defining spatial boundaries

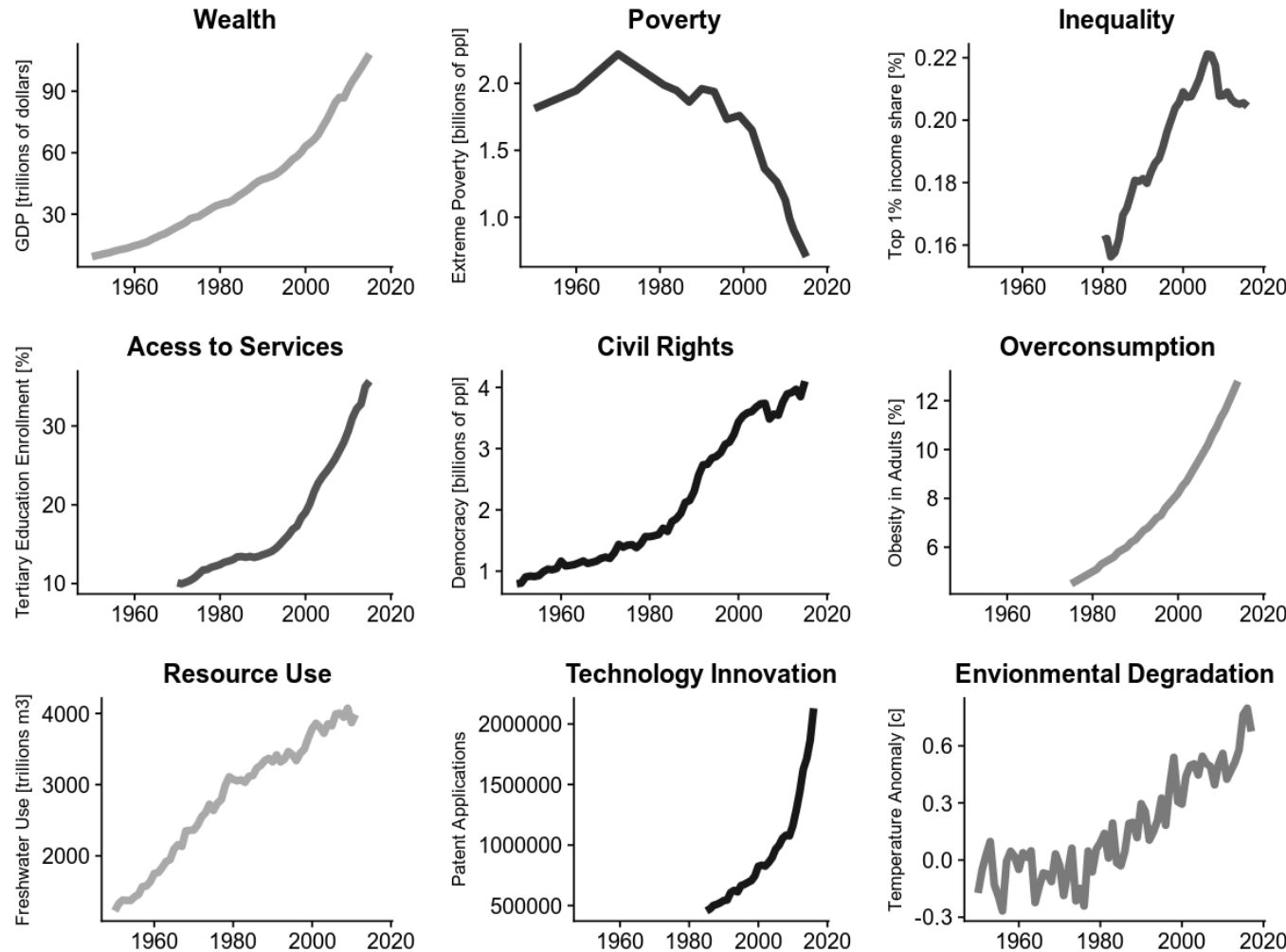


Which city would you predict to be best in terms of...

- a) Green areas per capita?
- b) Proximity to public transport stops?

# 1. Contextualization

## Defining temporal boundaries



In your work

→ Assess a specific point in time for several cities and compare them (**not** a dynamic assessment)

# Step 2: Procedure

## Questions

What key stages need to be considered to carry out a sustainability analysis?

—————→ **Procedural Framework**

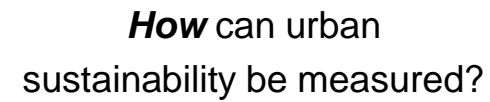
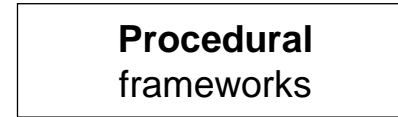
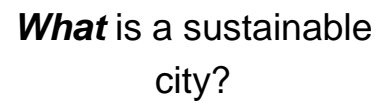
What assessment tools or frameworks can be used (e.g. SWOT analysis, impact assessment)?

—————→ **Assessment type**

Which stakeholders should be involved in the assessment process ? When and how should we involve them ?

—————→ **Identifying stakeholders**

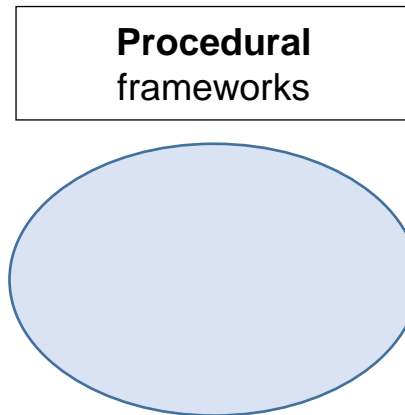
## Conceptual frameworks





# 2. Procedure

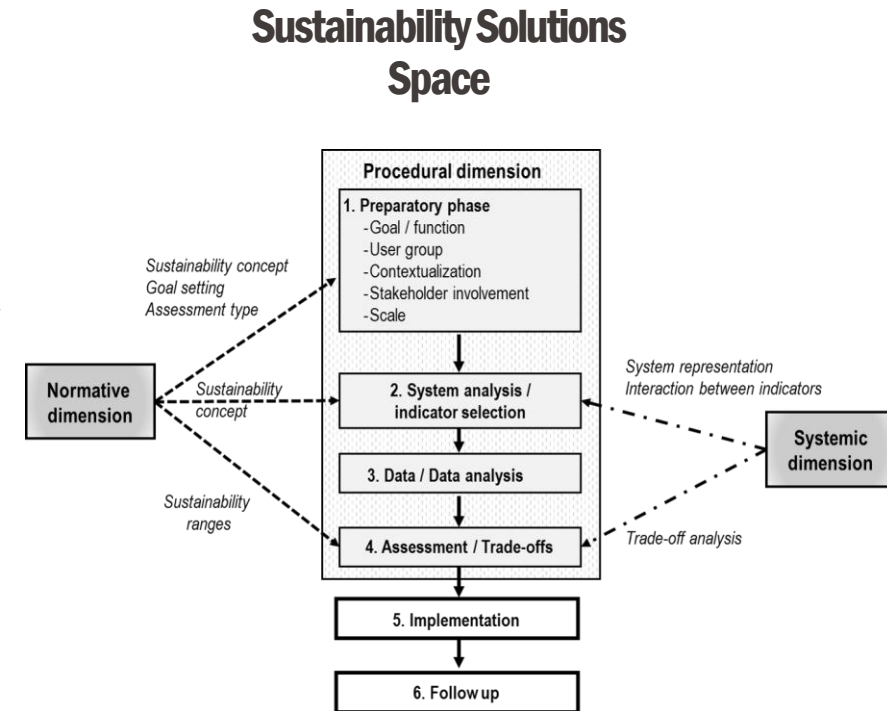
## Procedural Framework



**How** can urban sustainability be measured?

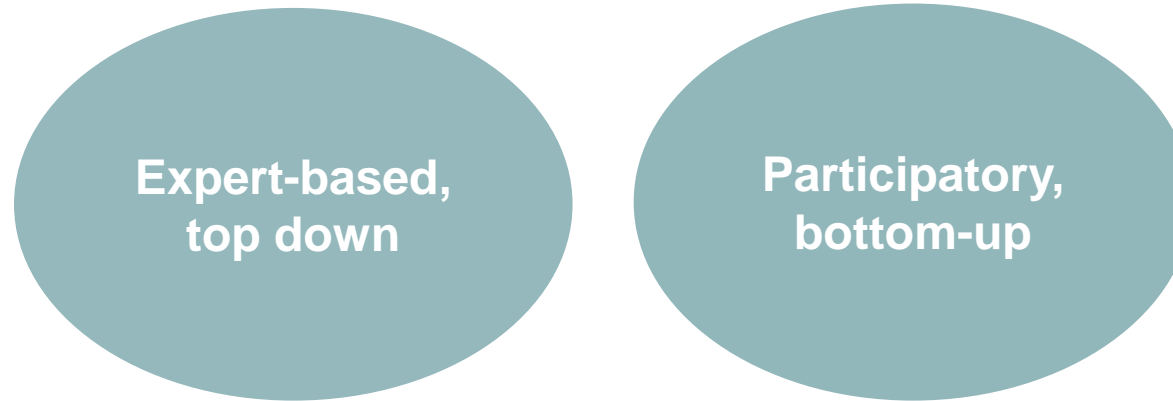
Procedural frameworks depict the **methodology** implemented to measure a particular concept

They most often consist of a **sequence of stages with dedicated tools**



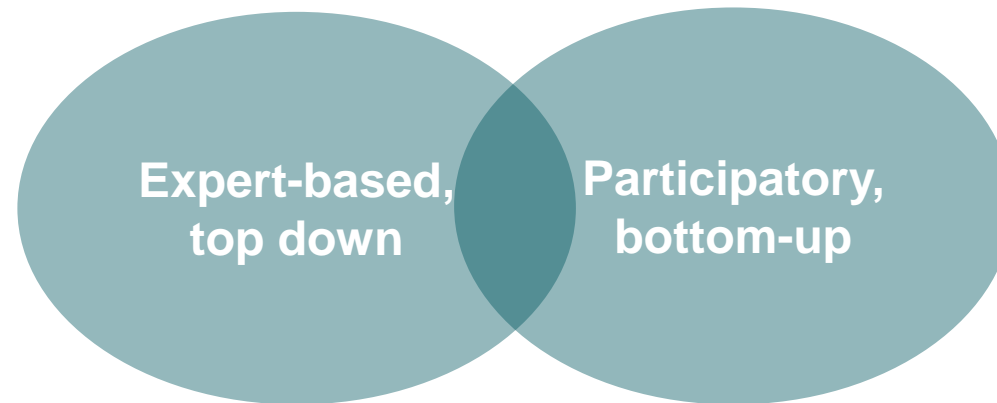
# 2. Procedure

## Assessment type



## 2. Procedure

### Assessment type



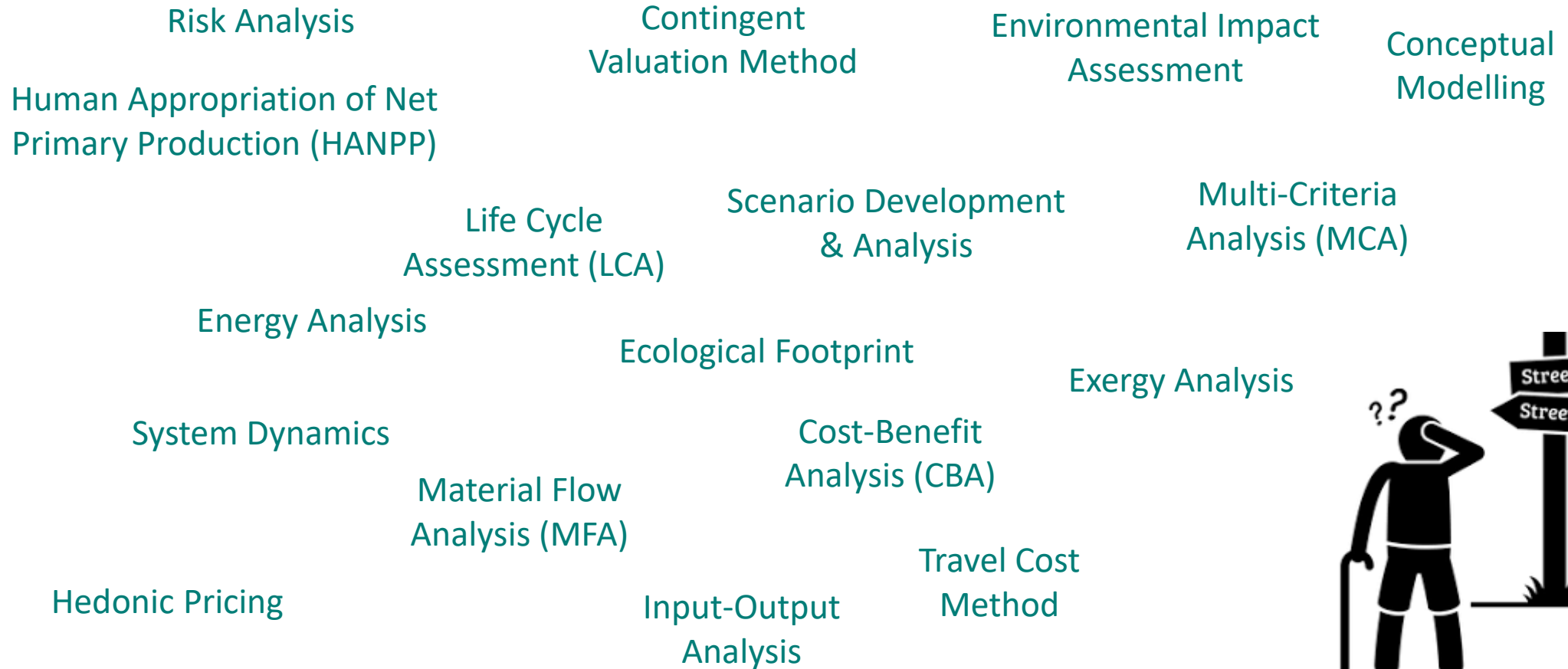
Expert-based,  
top down

Participatory,  
bottom-up

## 2. Procedure

### Assessment type

The number of tools and approaches that claim that they can be used for assessing sustainability has rapidly grown in the last 20 year...



# Numerous tools and approaches

... all these tools and approaches **might be categorized and compared with each other based on very different factors and criteria!**

In this introductory lecture, we present **one classification** of sustainability assessment tools that have been suggested in the scientific literature:

i) Ness et al. (2007)

Other classifications you can find in the reading material

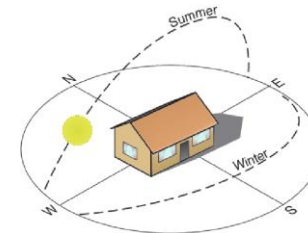
- TEEB (2010)
- Gasparatos & Scolobig (2012)
- Vatn (2005)



Compass



GPS Tracker

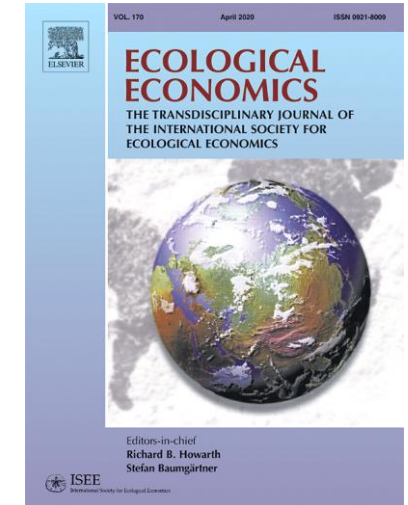


Sun



Lichen

ECOLOGICAL ECONOMICS 60 (2007) 498–508

available at [www.sciencedirect.com](http://www.sciencedirect.com)[www.elsevier.com/locate/ecolecon](http://www.elsevier.com/locate/ecolecon)

## SURVEY

## Categorising tools for sustainability assessment

Barry Ness<sup>a,b,\*,1</sup>, Evelin Urbel-Piirsalu<sup>a,b,c,1</sup>, Stefan Anderberg<sup>d</sup>, Lennart Olsson<sup>a</sup><sup>a</sup>Lund University Centre for Sustainability Studies (LUCSUS), PO Box 170, 221 00 Lund, Sweden<sup>b</sup>Department of Social and Economic Geography, Lund University, Sölvegatan 10, Geocentrum I, 223 62 Lund, Sweden<sup>c</sup>Stockholm Environment Institute Tallinn Centre, Box 160, 10502 Tallinn, Estonia<sup>d</sup>Institute of Geography, University of Copenhagen, Øster Voldgade 10, DK-1350 Copenhagen K, Denmark

ARTICLE INFO

ABSTRACT

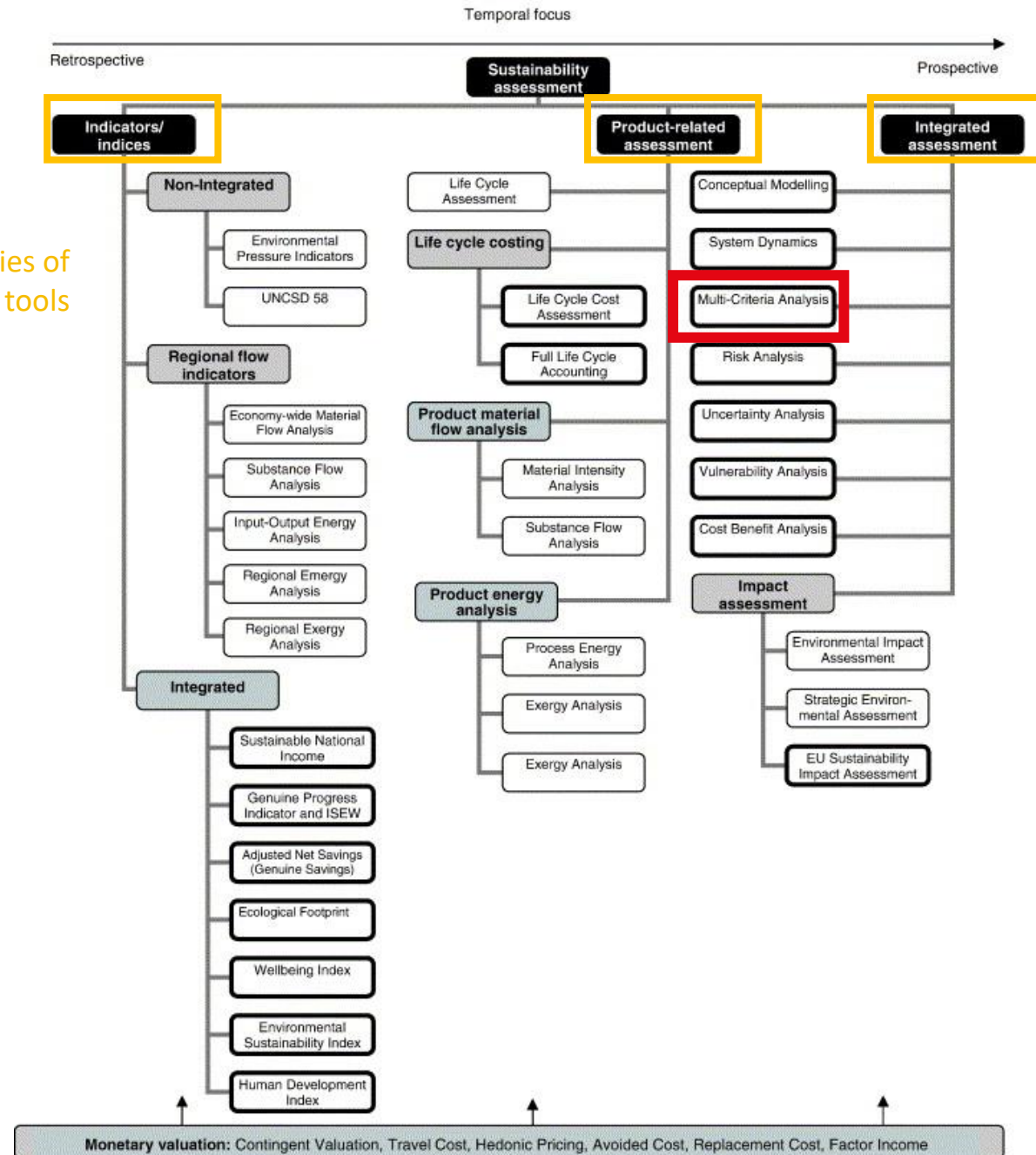
<https://www.sciencedirect.com/science/article/pii/S0921800906003636>

Ness et al. (2007)

# 2. Procedure

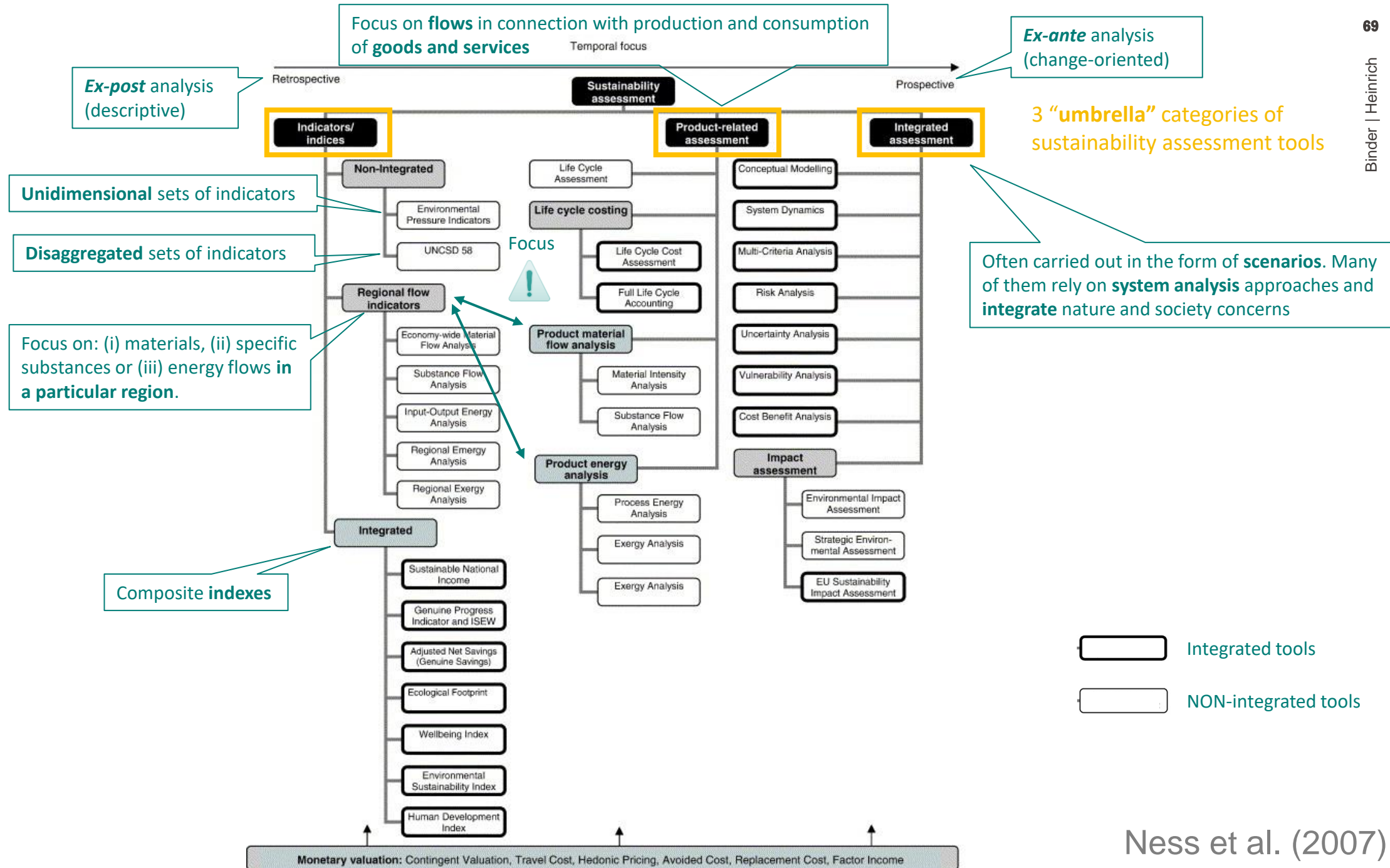
## Assessment type

3 “umbrella” categories of sustainability assessment tools



Ness et al. (2007)

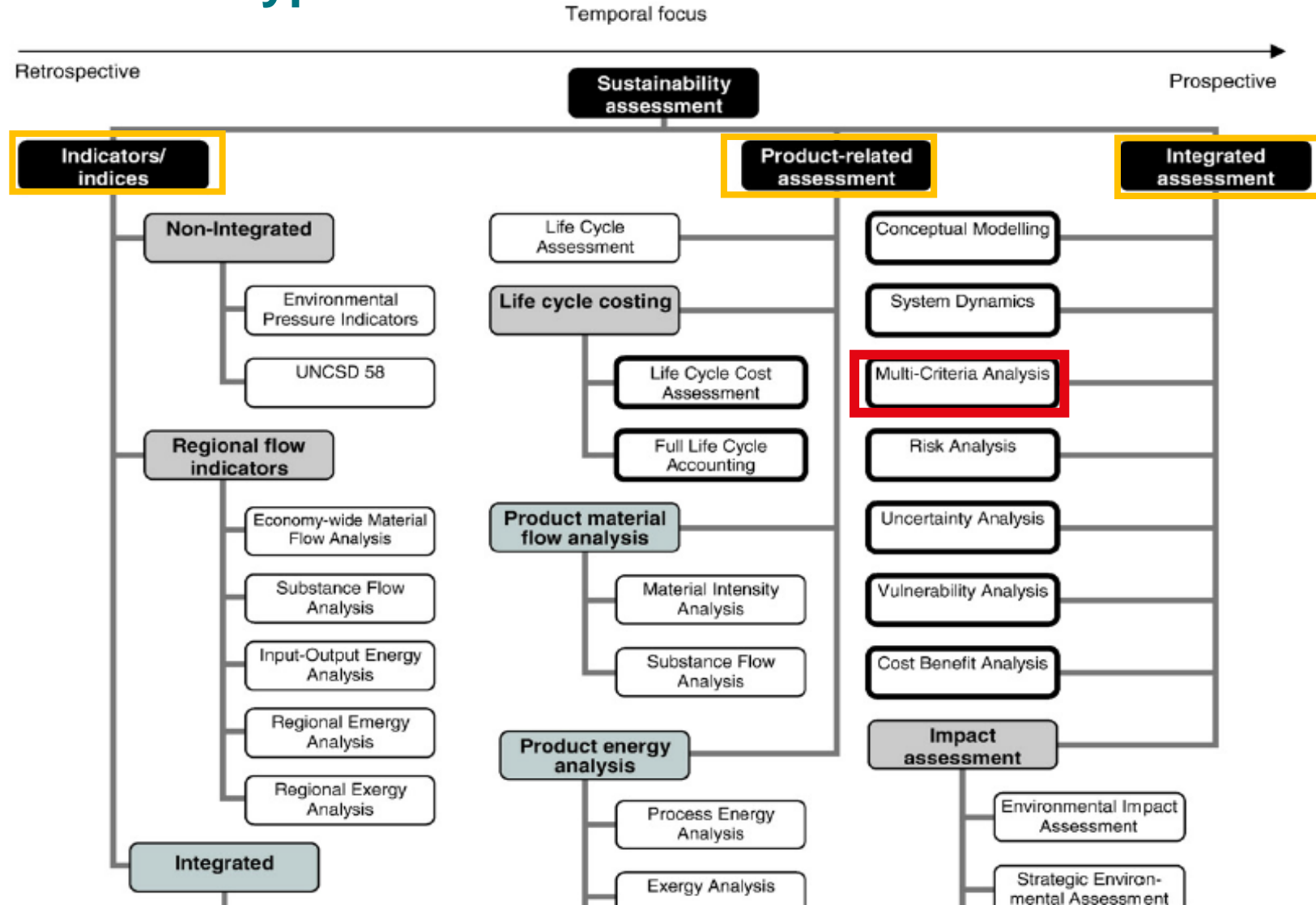






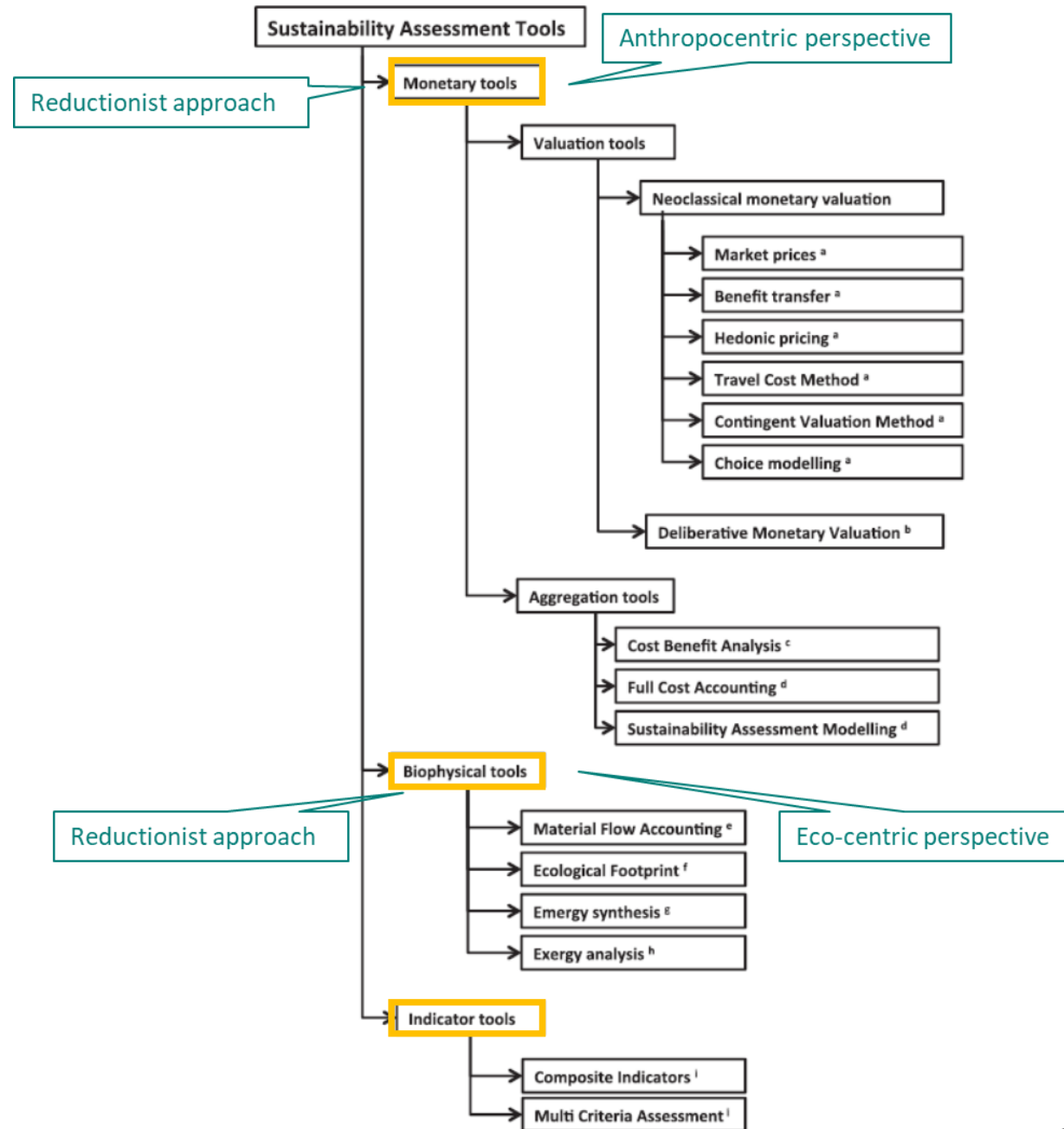
# 2. Procedure

## Assessment type

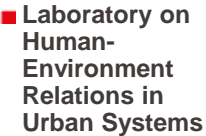


# 2. Procedure

## Assessment type



### Mapping key stakeholders: illustration 1 (Sustainable finance - Geneva)



A collaboration between

# Step 3: Definition of sustainability

(or conceptualisation)

## Questions

How do you define sustainability in general ? —————→ **Selecting a sustainability definition**

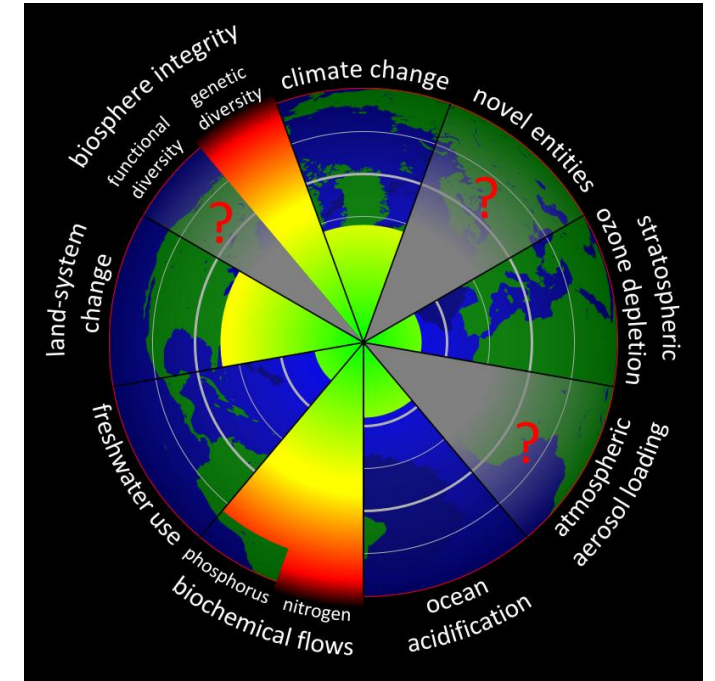
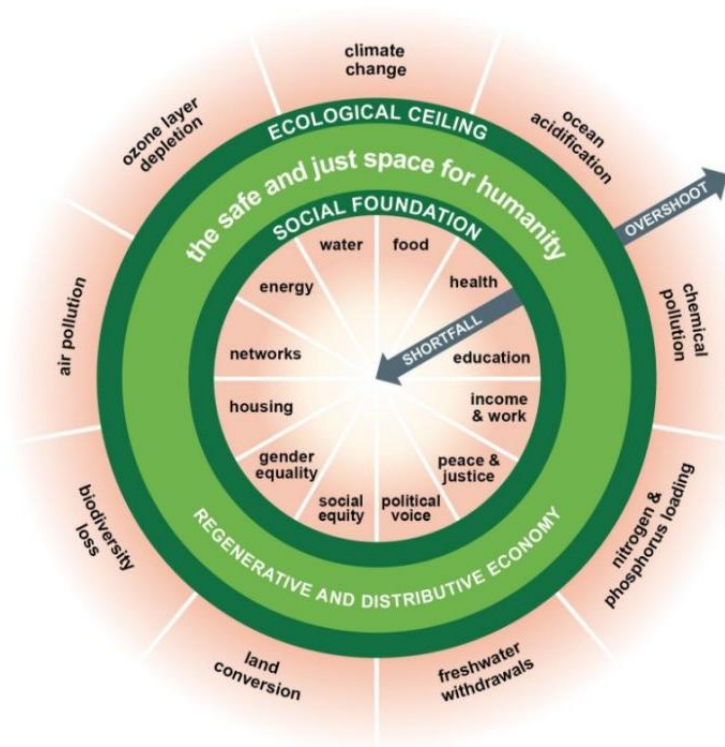
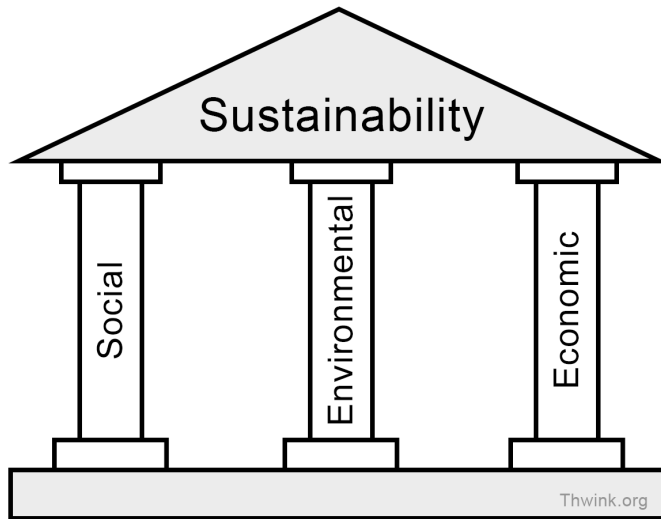
What are the specific sustainability issues for the city of Lausanne ? —————→ **Identifying key sustainability issues**

How do you refine the definition of sustainability for the project ? —————→ **Tailoring sustainability definition**

**Developing indicator framework**

# 3. Conceptualization

## Selecting a sustainability definition



# 3. Conceptualization

## Identify key sustainability issues

### Berlin

Education  
Primary care  
Public security  
Health  
Social cohesion  
Soil protection  
Noise pollution and air quality  
Climate protection  
Water quality  
Biodiversity  
Economic performance  
Employment  
Innovation  
Conservation of resources  
Public expenditure  
Equality

### Dublin

Innovation  
Society  
Economy  
Biodiversity  
Infrastructure & land use  
Transportation  
Climate & Energy  
Resource Management

### Calgary

Community  
Economy  
Education  
Natural Environment  
Resource use  
Wellness

**How** to identify these issues?

**Who** decides what is a key issue and what is not?





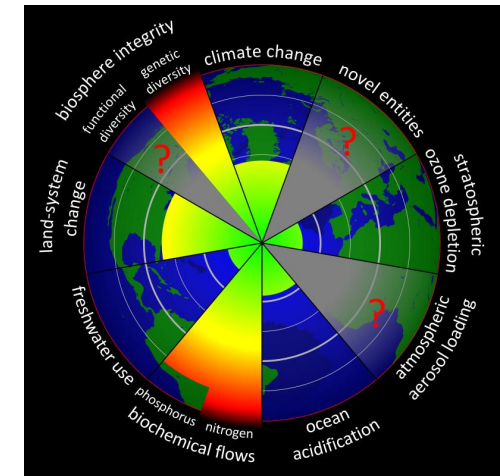
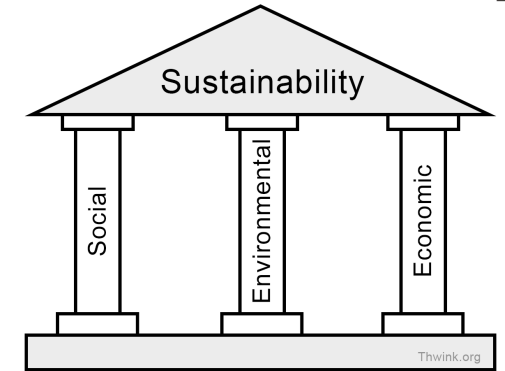
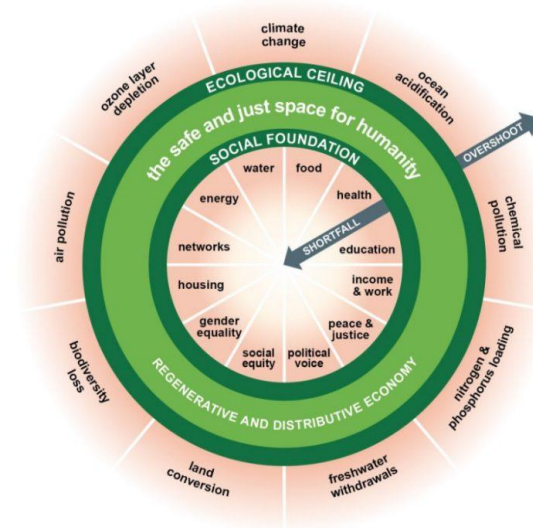
# 3. Conceptualization

## Tailoring sustainability definition

### Selected cities

Education  
 Primary care  
 Public security  
 Health  
 Social cohesion  
 Soil protection  
 Noise pollution and air quality  
 Climate protection  
 Water quality  
 Biodiversity  
 Economic performance  
 Employment  
 Innovation  
 Conservation of resources  
 Public expenditure  
 Equality

Integrate into our  
 sustainability definition



# 3. Conceptualization

## Developing indicator framework

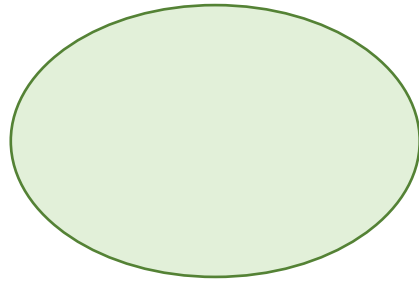




# 3. Conceptualization

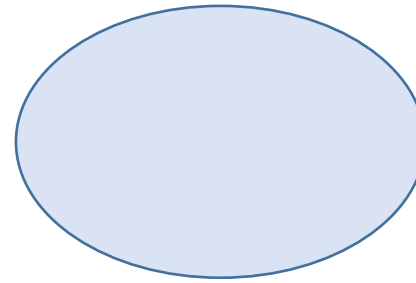
## Developing indicator framework

Conceptual  
frameworks



**What** is a sustainable  
city?

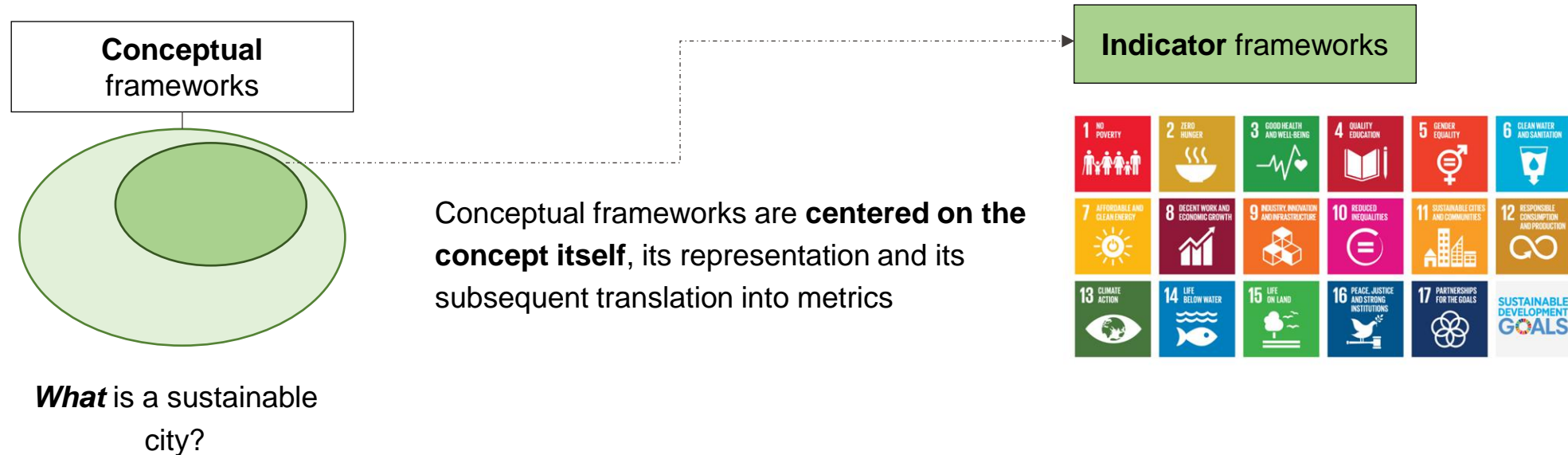
Procedural  
frameworks



**How** can urban  
sustainability be measured?

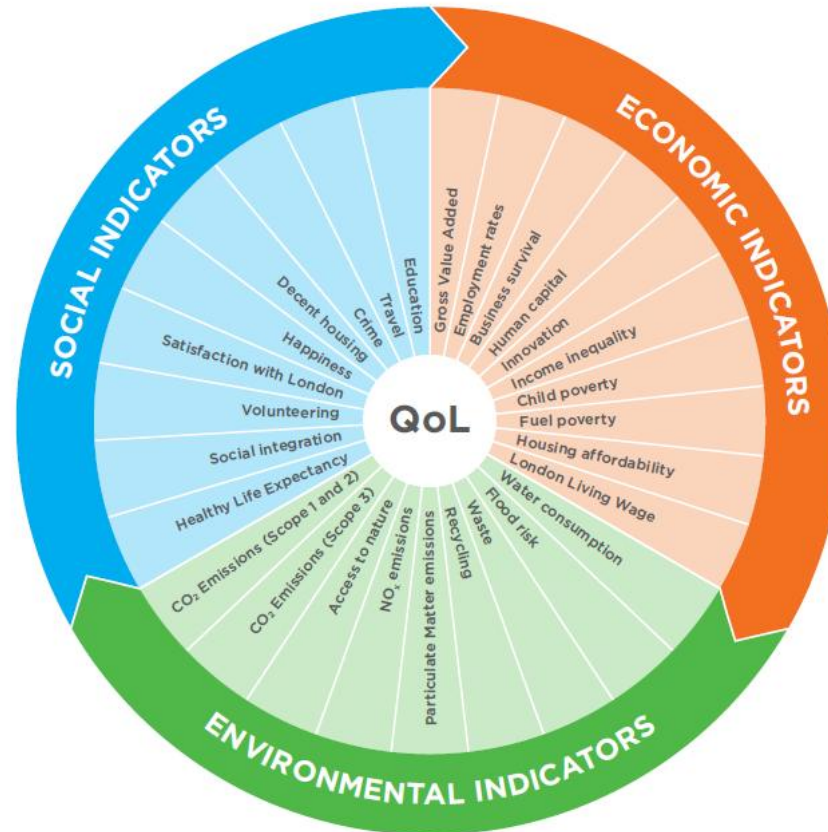
# 3. Conceptualization

## Developing indicator framework



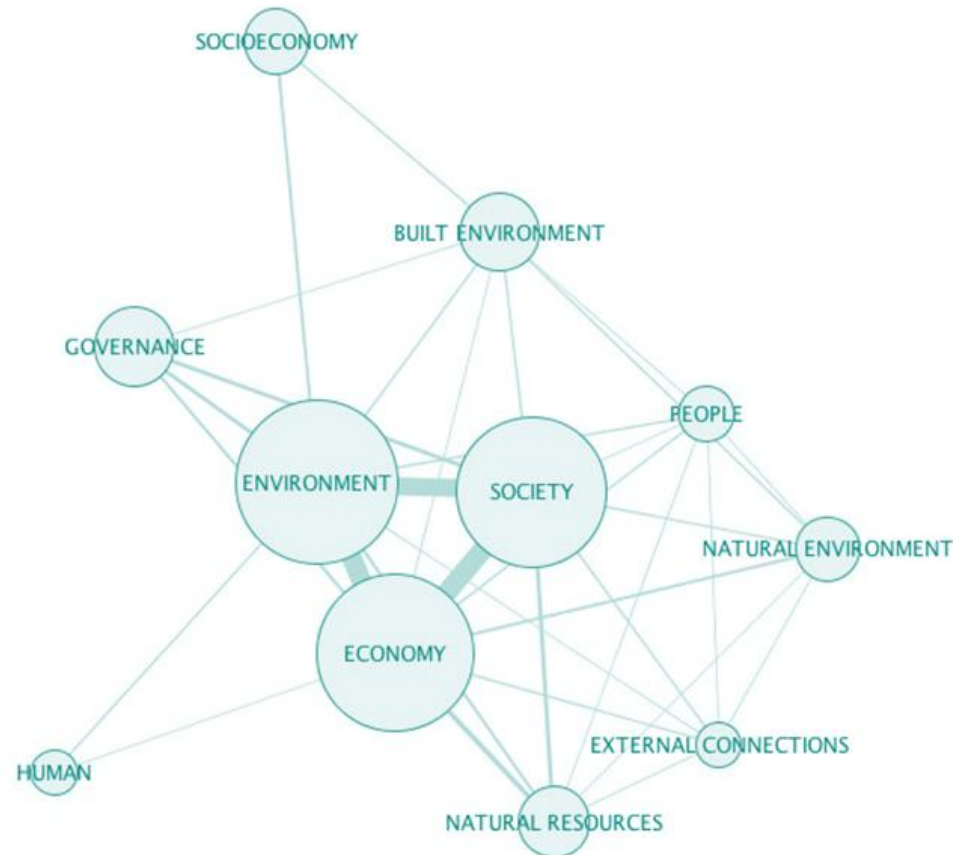
# 3. Conceptualization

## Developing indicator framework



# 3. Conceptualization

## Developing indicator framework



# Step 4: Sustainability indicators

## (or operationalization)

### Questions

What are the key indicators to measure the sustainability of Lausanne ?

**Selecting potential indicators**

**Analysing interactions**

**Selecting final set of indicators**

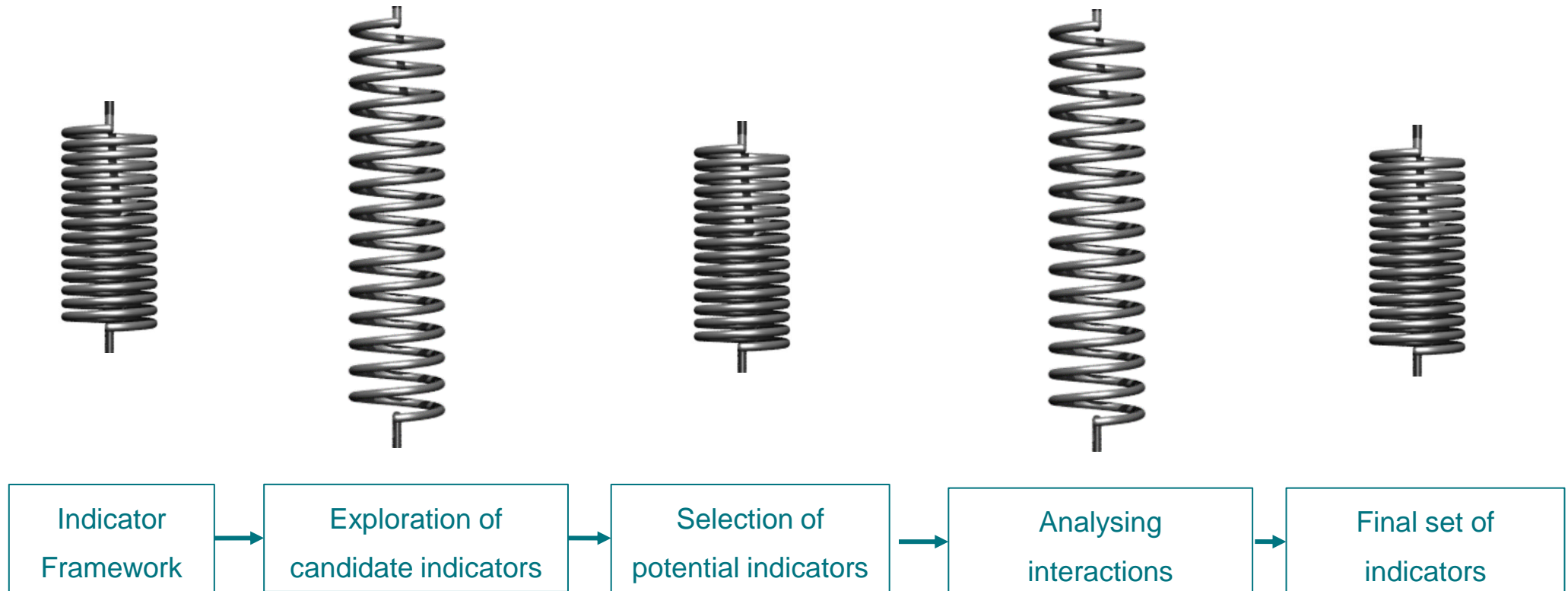
**Setting thresholds and targets**

How can these indicators be measured? What tools or methodologies can be used to collect data on these indicators?

Can these indicators be used to compare the sustainability of different cities?

# 4. Operationalisation

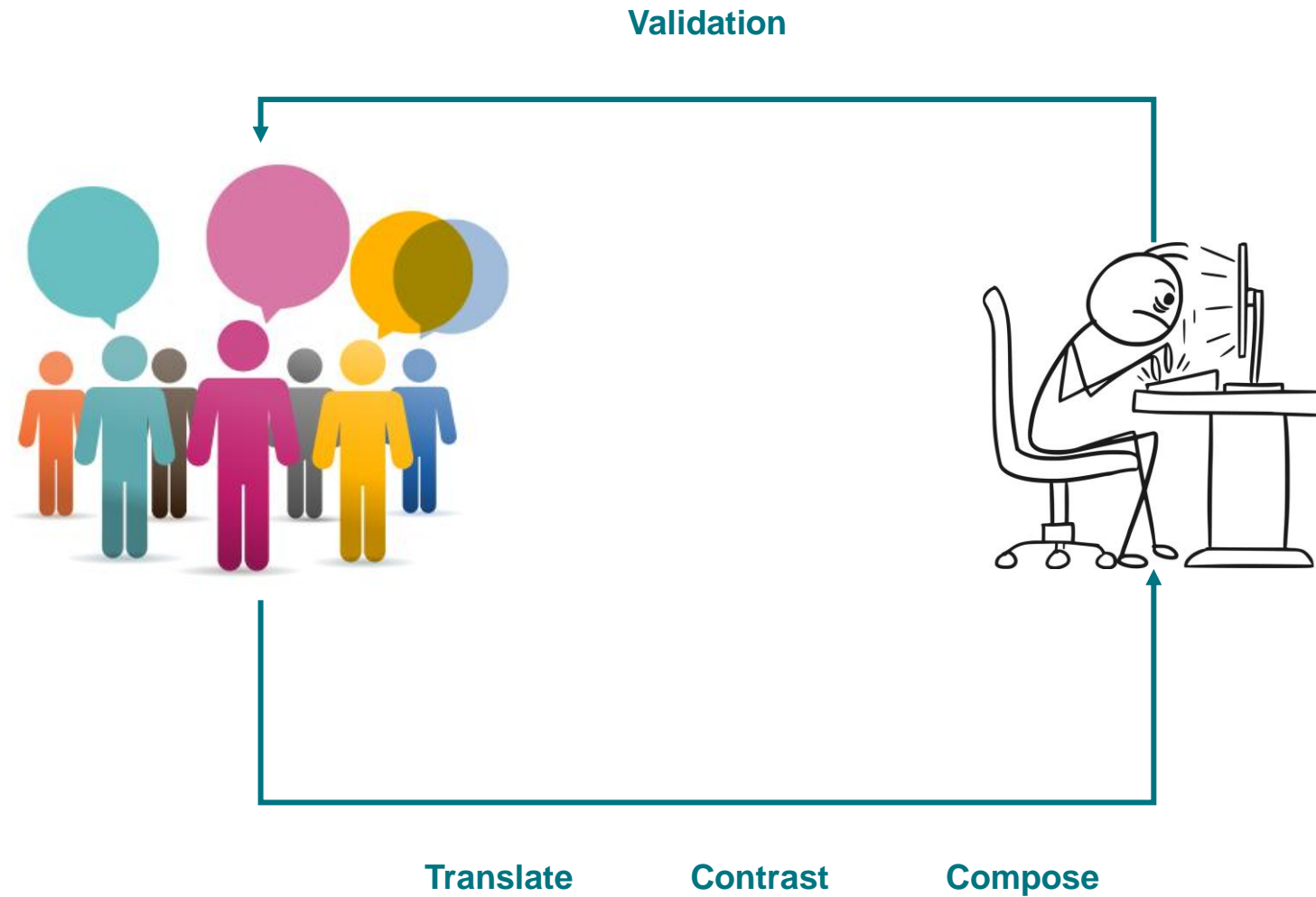
Combining '*opening up*' and '*closing down*' steps...



Based on Stirling 2008

# 4. Operationalisation

## Selecting potential indicators



# 4. Operationalisation

## Analysing interactions

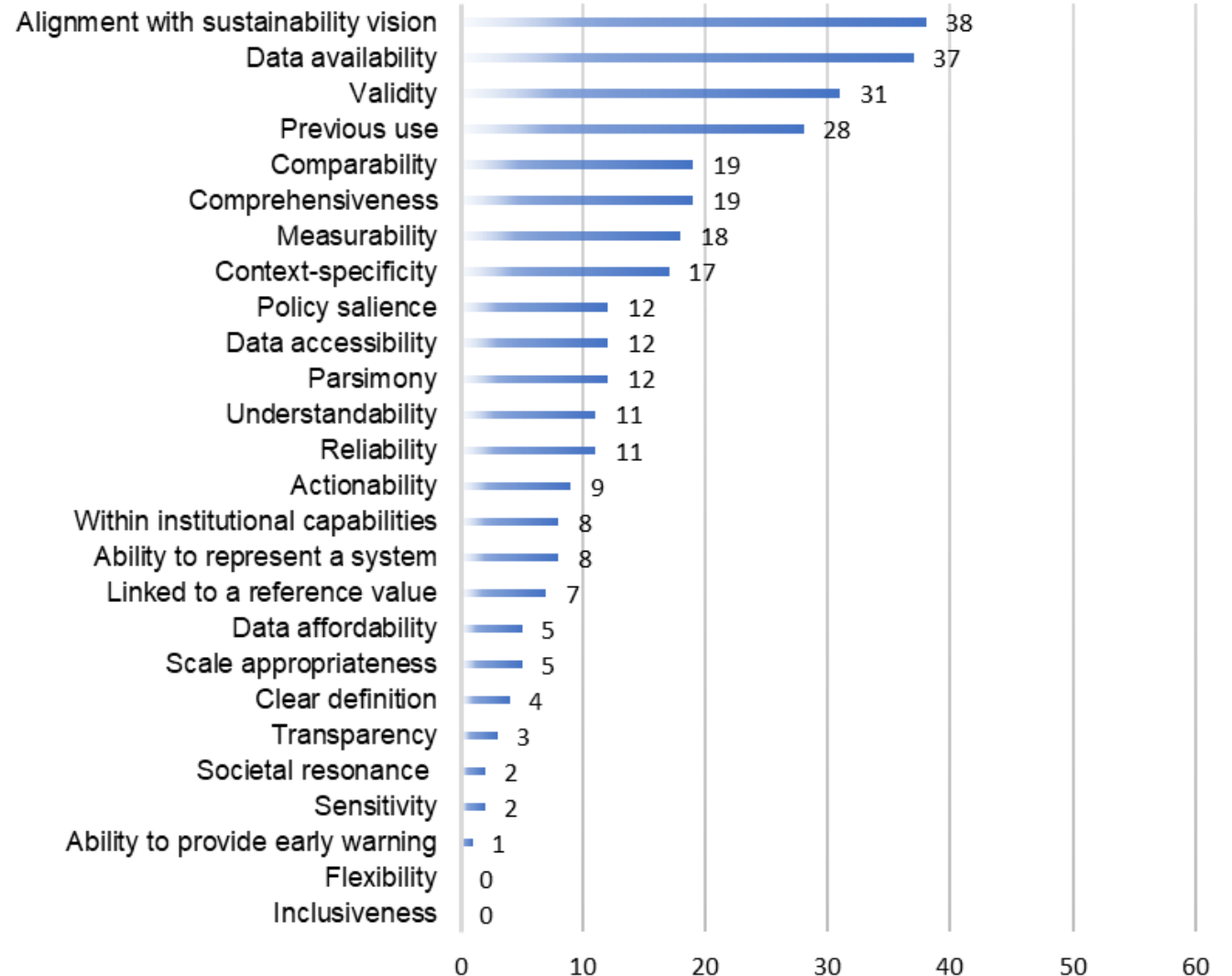
		Environmental aspects			Economic aspects			Social aspects		
		Indicator Env. 1	Indicator Env. 2	Indicator Env. 3	Indicator Econ. 1	Indicator Econ. 2	Indicator Econ. 3	Indicator Soc. 1	Indicator Soc. 2	Indicator Soc. 3
Environmental aspects	Indicator Env. 1		0	1	0	1	0	-2	1	0
	Indicator Env. 2	0		1	0	1	0	2	1	0
	Indicator Env. 3	1	1		0	1	0	-1	1	0
Economic aspects	Indi. Econ. 1	1	1	1		1	0	1	1	-1
	Ind. Econ. 2	-1	1	1	2		0	1	1	0
	Ind. Econ. 3	1	-1	1	2	0		1	0	0
Social aspects	Indicator Soc. 1	1	1	-2	0	0	0		1	0
	Indicator Soc. 2	2	2	2	1	0	0	2		1
	Indicator Soc. 3	1	1	1	1	1	0	2	1	



# 4. Operationalisation

## Selecting final set of indicators

Which criteria can guide the selection of indicators?



# 4. Operationalisation

## Setting thresholds and targets

- **Targets sets by the city itself, by the law, by international organizations, etc.**

Ex: Air pollution

<https://www.admin.ch/opc/en/classified-compilation/19850321/index.html>

- **Comparison with similar cities (statistical approach)**
- **Scientific literature**

# 5. Analysis

## Multi-Criteria Assessment (MCA)



Several  
**alternatives**

A finite set of  
**evaluative  
criteria**



**Performance  
scores**

**Aggregative  
procedure**



# 5. Analysis (MCA)

Data collection

Normalization

Assignment of weights

Sensitivity analysis

# 6. Assessment

Relating main findings with framework and sustainability definition

Discussing trade-offs and interactions

Developing policy recommendations

Reflecting on limitations

**GOALS & SKILLS**

**GRADING**

**PROGRAM**

**GROUP PROJECT**

# Introduction to the course


# General goals of the course

The goal of this course is for you to learn:

- how to apply sustainability assessment methods to provide an answer to a specific problem;
- to distinguish between and integrate the procedural, normative, and systemic dimensions of the sustainability assessment;
- To learn the analytical tools for conducting sustainability assessment
- how to interact with people from other disciplines and contribute to a critical and reflexive view on sustainability assessment.

# General goals: transversal skills

To achieve this goal, you will learn to:

- 
- **design and conduct a research project in an interdisciplinary team**
  - read, elaborate and reflect scientific and gray literature
  - present findings both in oral and written form
  - critically question ideas and models, to assess those and to develop new ones



# Program of the course

Lectures : BS 170 on Wednesdays, 13:15 – 16:00 (Lecture + Exercise)

n°	Date	Session	Milestones Project
1	19/02/2025	<b>Introduction</b> into sustainability and SA	
2	26/02/2025	<b>Sustainability issues</b> in <b>urban systems</b>	
3	05/03/2025	<b>Key steps in SA #1</b> : Introduction, indicator frameworks	<b>Groups formed</b>
4	12/03/2025	<b>Key steps in SA #2</b> : Participatory and normative dimension	
5	19/03/2025	<b>Key steps in SA #3</b> : Systemic dimension	<b>Submission - Outline 19.03</b>
6	26/03/2025	<b>Deriving indicators (1/2)</b>	
7	02/04/2025	<b>Deriving indicators (2/2)</b>	
8	09/04/2025	<b>Influence matrix</b>	
9	16/04/2025	<b>Multi-Criteria Analysis</b>	
	23/04/2025	<b>Easter break</b>	
10	30/04/2025	<b>Sustainability Assessment in practice</b>	
11	07/05/2025	<b>Policy implications</b>	
12	14/05/2025	<b>Deriving policy recommendations</b>	
13	21/05/2025	<b>Presentation of semester work_1 *</b>	
14	28/05/2025	<b>Presentation of semester work_2</b>	

\* May be updated depending on the number of students enrolled

# Milestones and Grading

Groups formed **05.03.2025**

Submission of Outlines for group projects **19.03.2025**

Submission of posters **16.05.2024 \* (20%)**

Presentation **(10%)** and Critical evaluation of another poster **(10%)**  
**21/28.05.2024 \***

Final Exam **XX.06.2024 (60%)**

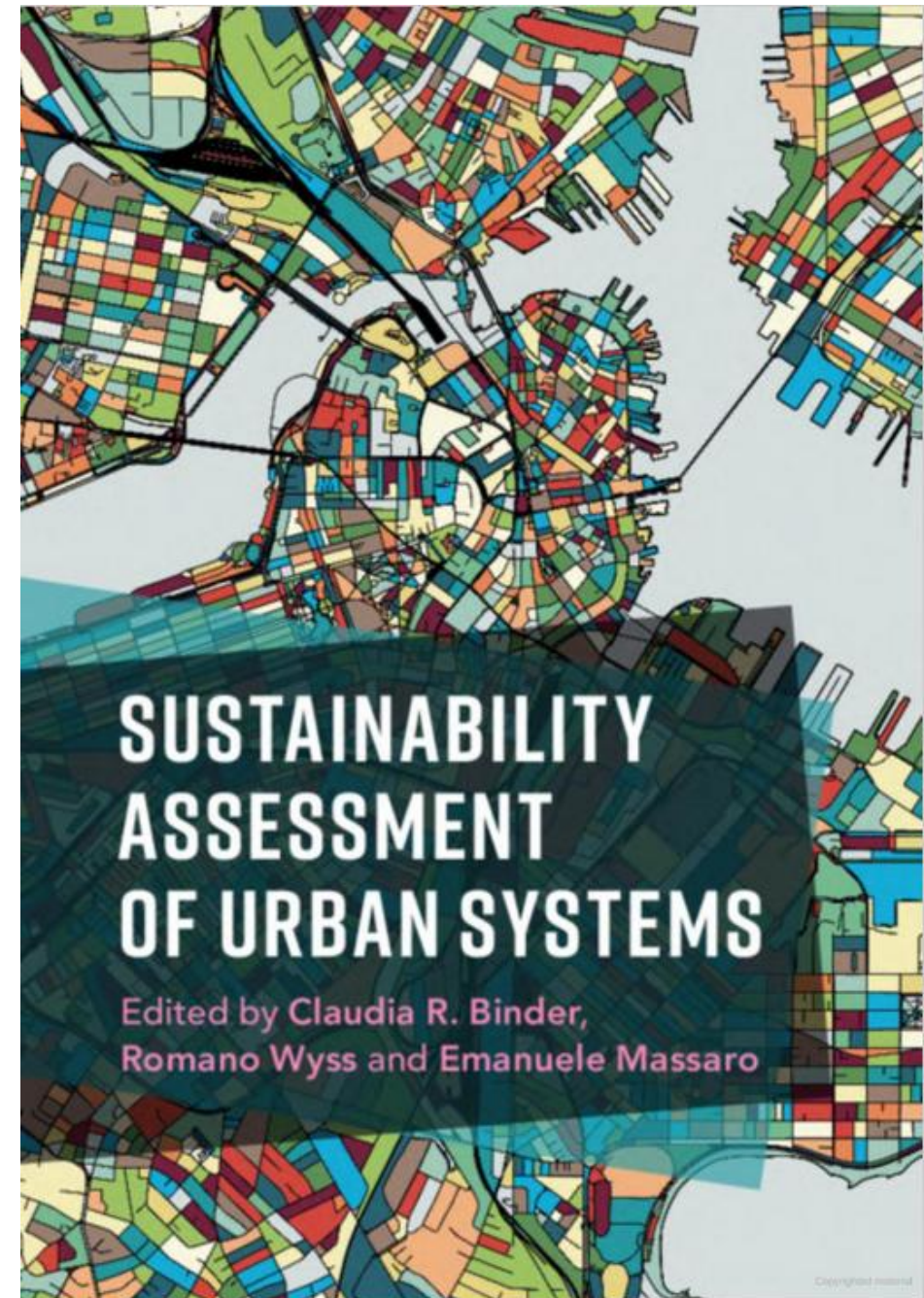
*\* May be updated depending on the number of students enrolled*

*Details on the project will be given during Lecture 2*

# Recent book from Cambridge University Press

- Course will be based on the book
- Chapters discussing different aspects of assessing the sustainability of cities
- Contributions by several members of the HERUS laboratory
- Hard copy available for

<https://doi.org/10.1017/9781108574334>







**Your  
expectations**

# Welcome to the course !

- What are your main expectations for this course?
- How do you envision applying what you learn in this course to your future career or studies?
- <https://moodle.epfl.ch/mod/feedback/view.php?id=975804>



# Questions?

Matthias Heinrich – [matthias.heinrich@epfl.ch](mailto:matthias.heinrich@epfl.ch)

Simon Ladino Cano – [simon.ladinocano@epfl.ch](mailto:simon.ladinocano@epfl.ch)

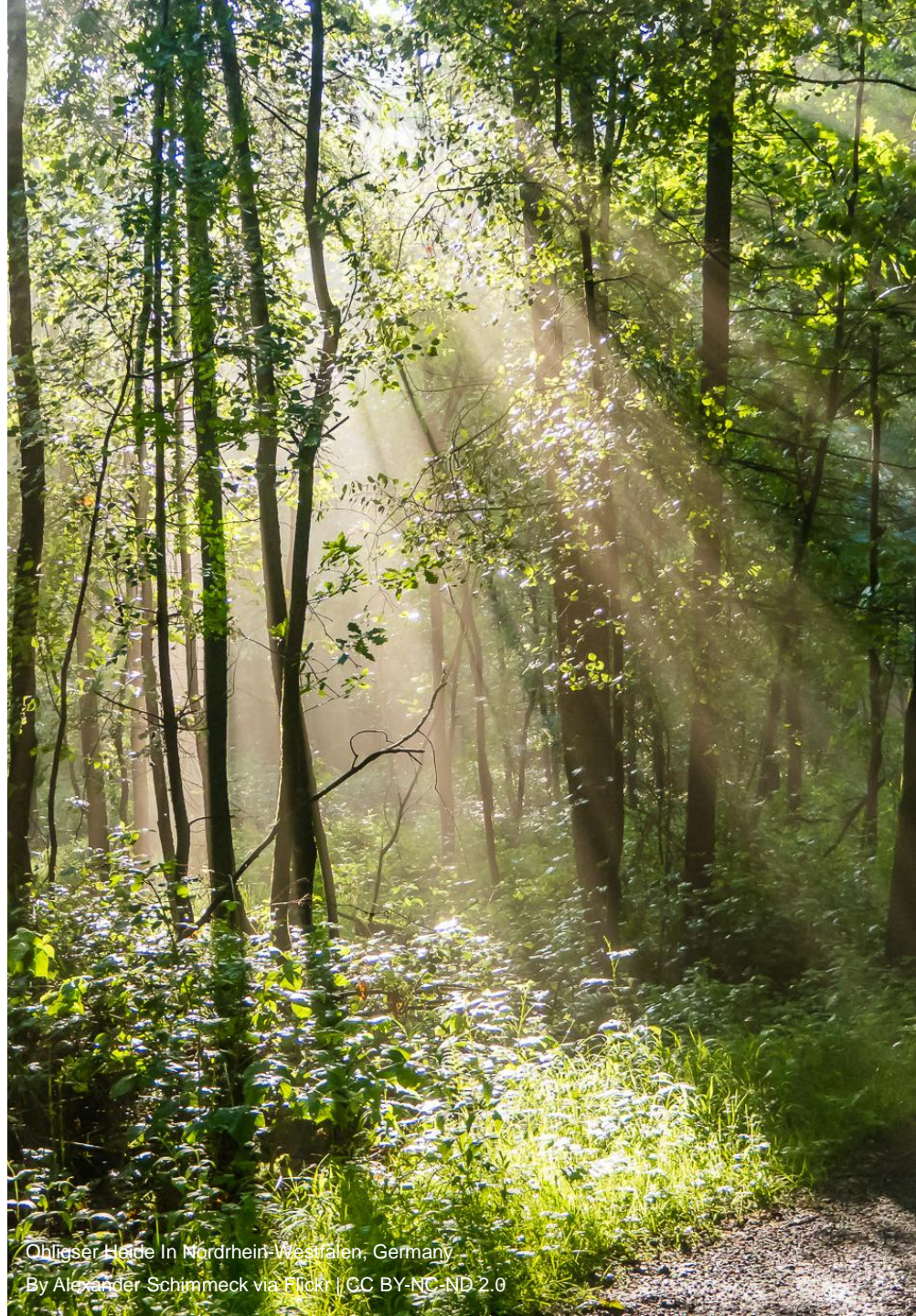
Giulia Frigo – [giulia.frigo@epfl.ch](mailto:giulia.frigo@epfl.ch)

Ankita Singhvi – [ankita.singhvi@epfl.ch](mailto:ankita.singhvi@epfl.ch)

Gloria Serra Coch - [gloria.serracoch@epfl.ch](mailto:gloria.serracoch@epfl.ch)

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- Kates, Robert W.; Parris, Thomas M.; Leiserowitz, Anthony A. (2005): What is sustainable development? Goals, indicators, values, and practice. In: *Science and Policy for Sustainable Development* 47/3, 8-21.

# Origins of sustainability / sustainable development



Ohligser Heide In Nordrhein-Westfalen, Germany

By Alexander Schimneck via Flickr | CC BY-NC-ND 2.0

**1713:** von Carlowitz wrote about the "sustainable use" of wood





Hans Carl von Carlowitz (1713)

*“How to conserve and use wood so that a continuous and sustainable utilization of the wood resources is possible”*

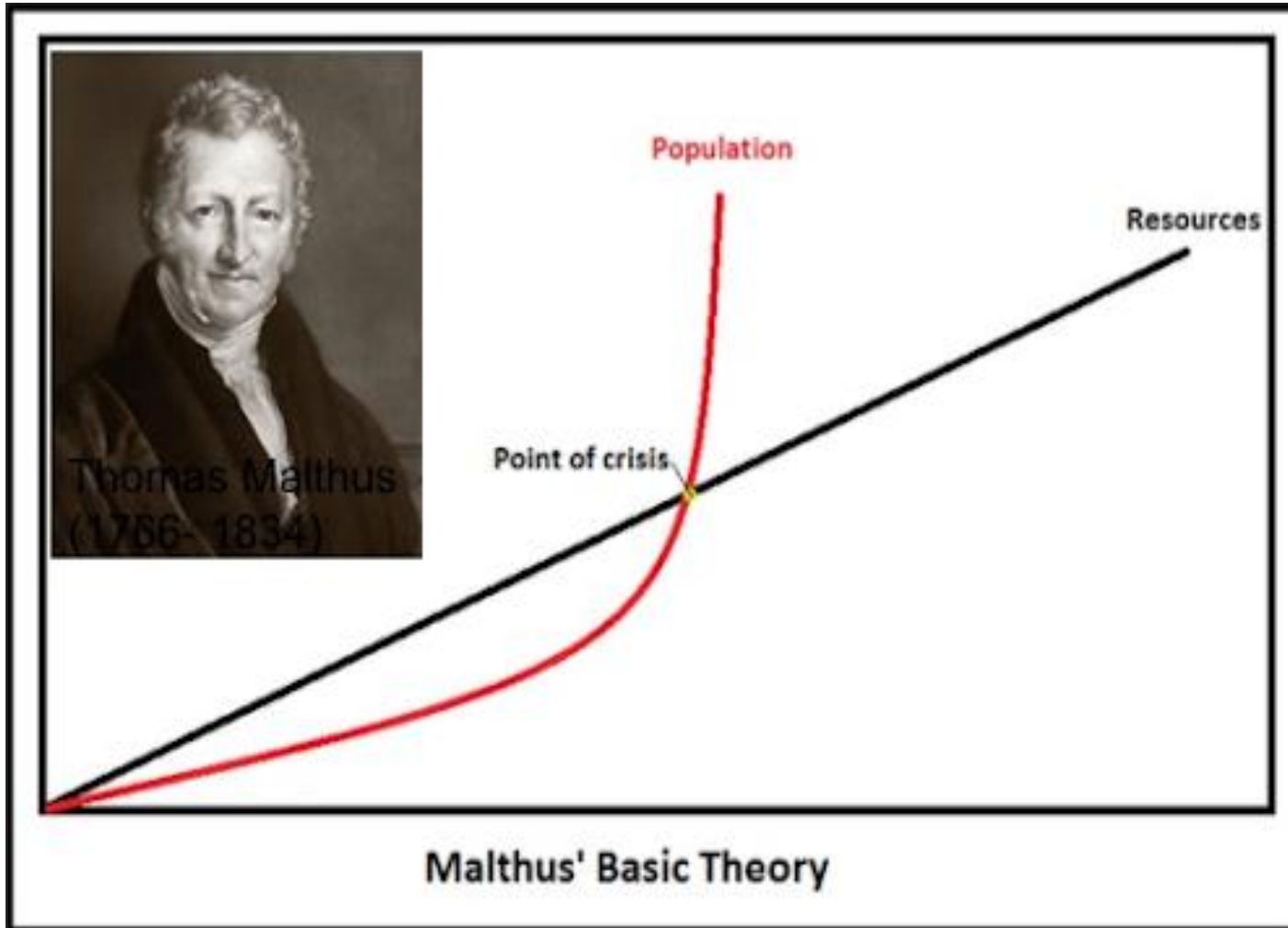
© bpk/Staatliche Kunstsammlungen Dresden/Herbert Boswank



**1713:** von Carlowitz wrote about the sustainable use of wood

**1798:** Thomas Malthus introduced his population theory

# Thomas Malthus – An Essay on the Principle of Population (1798)



- Human population increases geometrically, while food production increases arithmetically
- Results in disease, famine, wars, calamity
- Earth may not sustain itself, resources are finite and there is «limits to growth»

<https://www.econ.cam.ac.uk/events-files/news/images/malthus/Malthus-graph.png>

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# Early Warnings of Climate Change

## THE ARTIFICIAL PRODUCTION OF CARBON DIOXIDE AND ITS INFLUENCE ON TEMPERATURE

By G. S. CALLENDAR

(Steam technologist to the British Electrical and Allied Industries  
Research Association.)

(Communicated by Dr. G. M. B. DOBSON, F.R.S.)

[Manuscript received May 19, 1937—read February 16, 1938.]

*'As man is now **changing the composition** of the atmosphere **at a rate** which must be **very exceptional** on the geological time scale, it is natural to seek for the **probable effects of such a change**. From the best laboratory observations it appears that the principal result of increasing atmospheric carbon dioxide... would be **a gradual increase in the mean temperature** of the colder regions of the Earth.'*

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**1962:** Rachel Carlson published her best-seller book «Silent Spring»



# Rachel Carlson – Silent Spring (1962)



- Misuse of pesticides without knowing the full extent of their effect and harm
- Advocated questioning authorities: «who speaks and why?»
- Best-seller
- Villified by the pesticide and chemical industry

"How silent the spring." Associated Press photo, 1963. Prints and Photographs Division of the Library of Congress.

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# First Earth Day – April 22, 1970



<https://www.nypl.org/blog/2020/04/22/earth-arbor-day-virtual-events-books-websites>



Kids with sweepers gather in New York City to clean the streets on the first-ever Earth Day in 1970.

PHOTOGRAPH BY BETTMANN, GETTY IMAGES  
<https://kids.nationalgeographic.com/celebrations/article/earth-day>

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# UN Conference on the Human Environment (1972)





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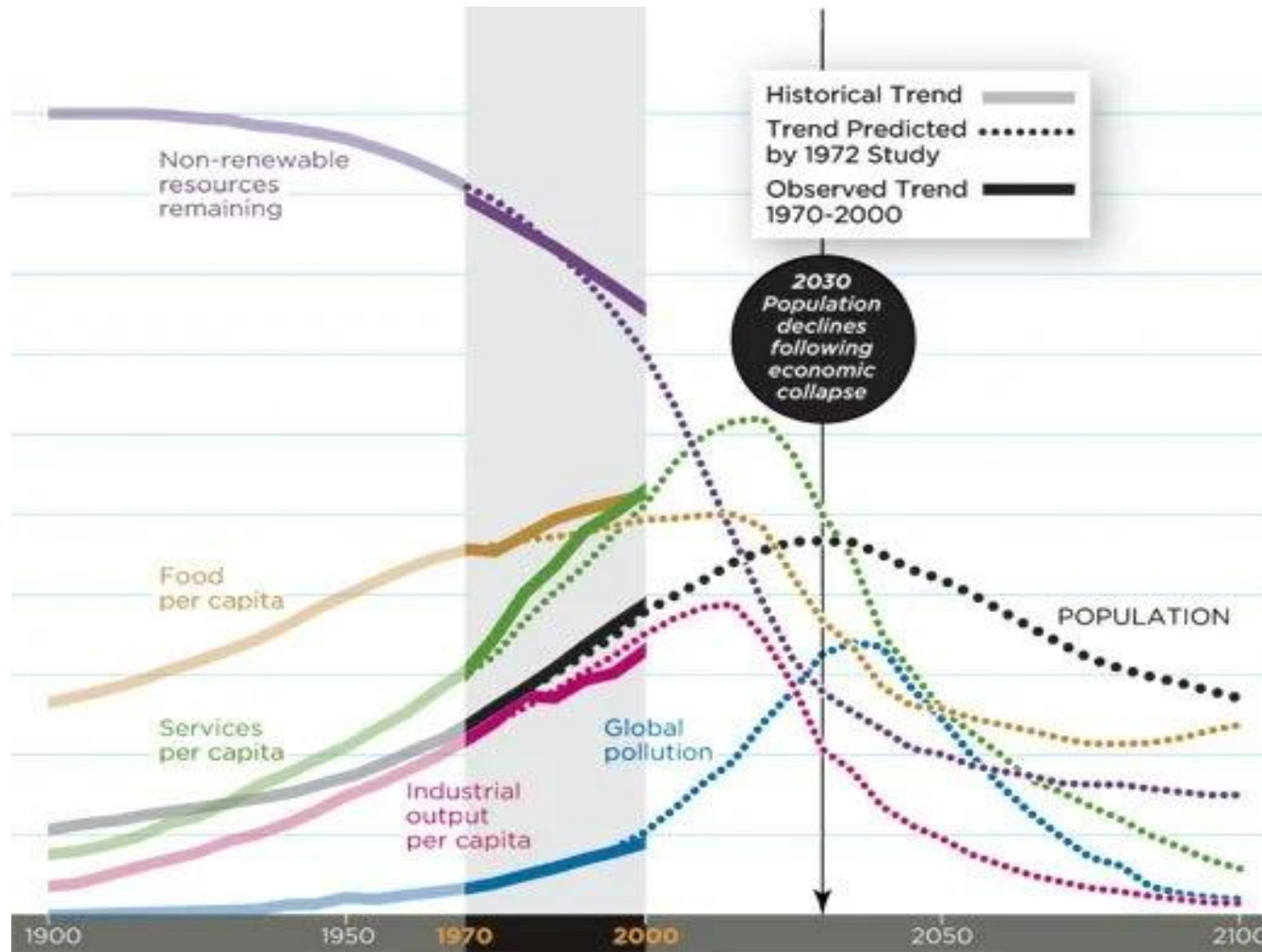
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**1972:** Club of Rome published "The Limits to Growth"

# Club of Rome – The Limits to Growth (1972)



- Indefinite growth is not possible
- System dynamics model
- Peak and a collapse around 2050
- Current empirical data validates the model's projections

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**1987:** Brundtland Report introduced the concept «Sustainable Development»

**1988:** IPCC (International Panel on Climate Change) was founded

*“Sustainable development is development that meets the needs of the present without compromising the ability of future generations to meet their own needs”*

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# Rio Earth Summit (1992)



"<https://thegreenpolitics.com/the-rio-summit-1992/>"

- Rio Declaration on Environment and Development
- Led to the establishment of UNFCCC, COP annual climate conferences
- Agenda 21, non-binding UN action plan for sustainable development
- No legal framework or mandate for the countries

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# Foundation of ICLEI (1990)



- First environmental city network
- Promoting action on the local level
- Today active in 125+ countries, 2500 members



<https://www.iclei.org/en/ACP.html>



# Foundation of C40 (2005)

- Started off with an alliance of 18 megacities
- Currently has 97 member cities making up 25% of the global economy
- Mission: halving the emissions within a decade
- Membership through action



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**2015:** 2030 Agenda for Sustainable Development - 17 SDGs



# Agenda 2030 for Sustainable Development (2015)

- Adopted by all United Nation Member States
- 17 Sustainable Development Goals (SDGs) and 169 targets



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**2015:** 2030 Agenda for Sustainable Development, 17 SDGs

**2015:** Paris Agreement

# COP 21 - Paris Agreement (2015)

- First-ever universal, legally binding (?) climate deal
- It committed all states to reduce GHG emissions
- Each state must set Nationally Determined Contribution (NDCs)
- While their attainment is only politically binding, there is legal obligation for their implementation



UNFCCC COP21 Final Plenary, 12 December 2015, Le Bourget-Paris. Photo: Nicola Tollin

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# Late lessons from early warnings



*"The important thing in science is not so much to obtain new facts as to discover new ways of thinking about them"* - **William Lawrence Bragg**